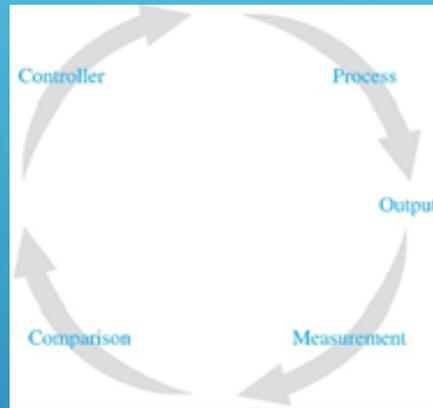


CONTROL SYSTEMS



Doç. Dr. Murat Efe

WEEK 6

Handling the special cases

A row is entirely zero

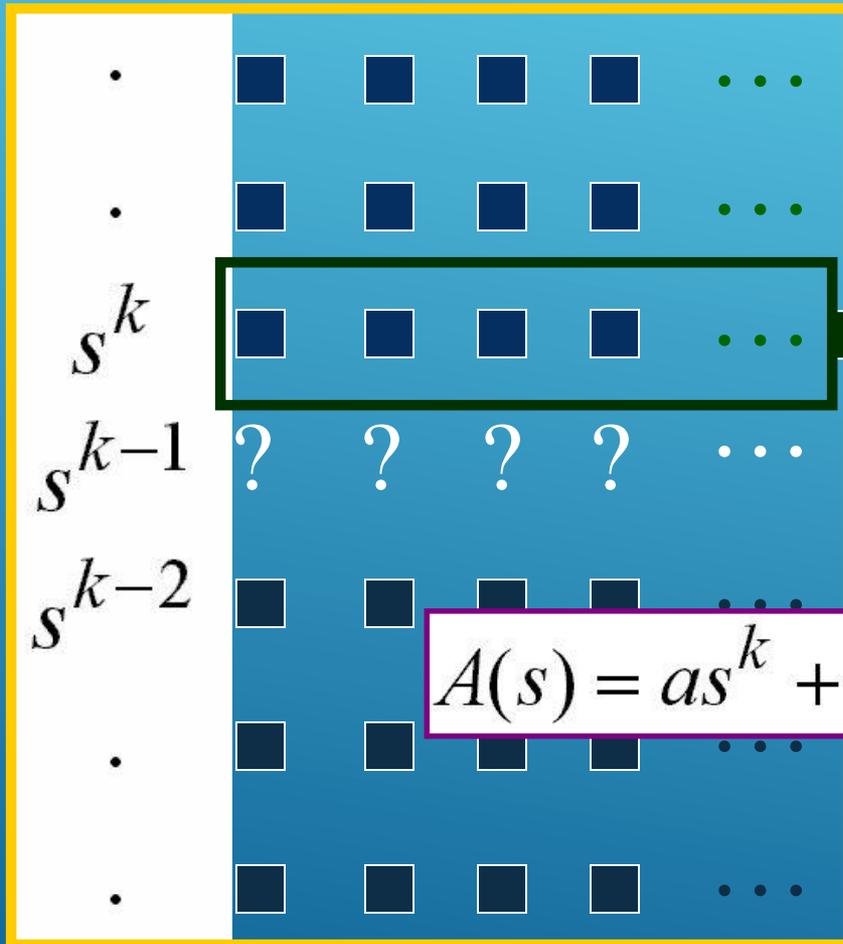
•	■	■	■	■	...
•	■	■	■	■	...
s^k	■	■	■	■	...
s^{k-1}	0	0	0	0	...
s^{k-2}	■	■	■	■	...
•	■	■	■	■	...
•	■	■	■	■	...

**This row is
entirely zero!**

**You cannot proceed to
calculate these terms!**

Handling the special cases

A row is entirely zero



Determine the auxiliary polynomial $A(s)$ from this row

$$A(s) = as^k + bs^{k-2} + cs^{k-4} + ds^{k-6} + \dots$$

Handling the special cases

A row is entirely zero

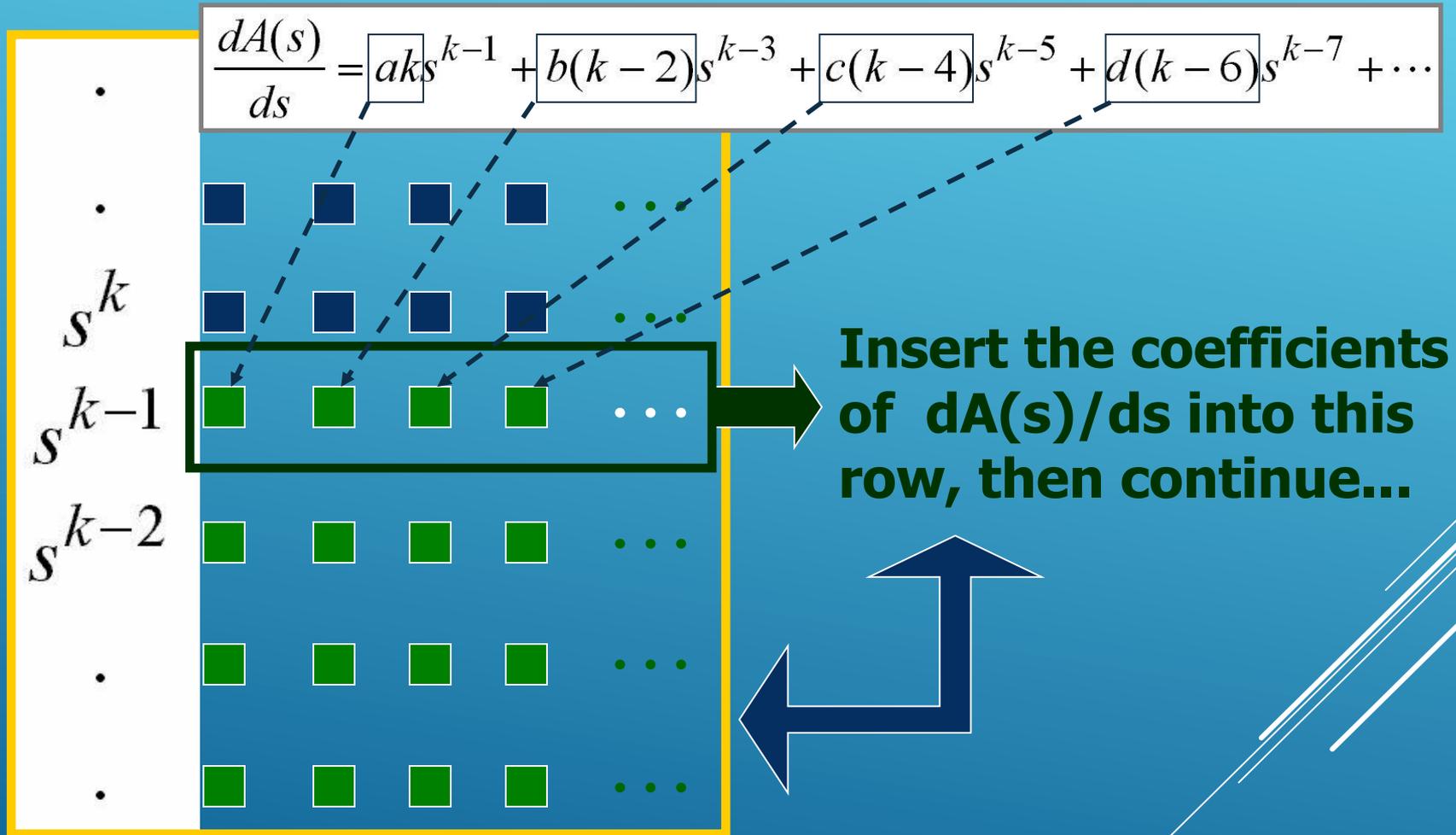
.	■	■	■	■	...
.	■	■	■	■	...
s^k	a	b	c	d	...
s^{k-1}	?	?	?	?	...
s^{k-2}	■	■	■	■	...
.	■	■	■	■	...
.	■	■	■	■	...

Determine the auxiliary polynomial $A(s)$ from this row

$$A(s) = as^k + bs^{k-2} + cs^{k-4} + ds^{k-6} + \dots$$

Handling the special cases

A row is entirely zero



Handling the special cases - An Example

A row is entirely zero

$$s^5 + 2s^4 + 24s^3 + 48s^2 - 25s - 50 = 0$$

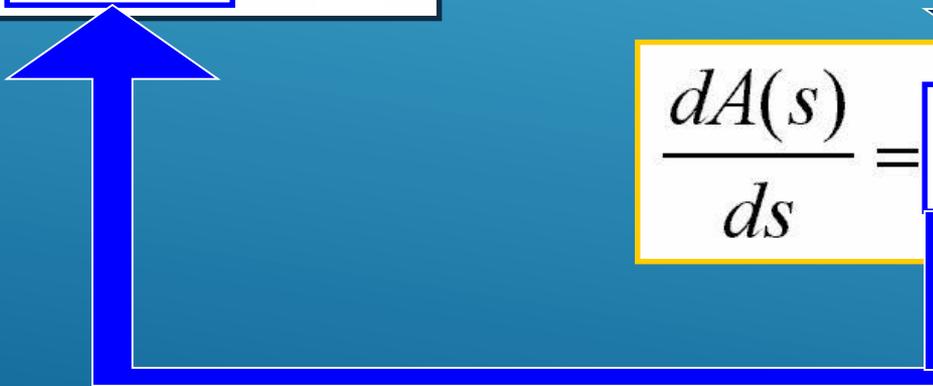
s^5	1	24	-25
s^4	2	48	-50
s^3	0	0	



$$A(s) = 2s^4 + 48s^2 - 50$$



$$\frac{dA(s)}{ds} = 8s^3 + 96s$$



Handling the special cases - An Example

A row is entirely zero

$$s^5 + 2s^4 + 24s^3 + 48s^2 - 25s - 50 = 0$$

s^5	1	24	-25
s^4	2	48	-50
s^3	8	96	
s^2	24	-50	
s^1	112.6666	0	
s^0	-50		

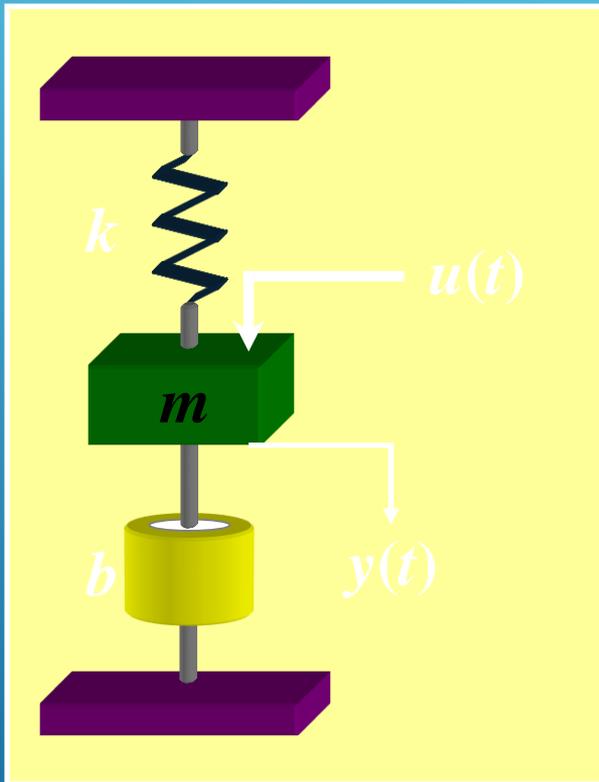
$$\frac{dA(s)}{ds} = 8s^3 + 96s$$

One sign change: One of the roots is in the right half s-plane

Final Remarks on Routh Criterion

-  The goal of using Routh stability criterion is to explain whether the characteristic equation has roots on the right half s -plane.
-  A parameter (e.g. a gain) may change the locations of the CL poles, and Routh criterion lets us know for which range the CL system is stable.

P-3 State Space Representation and Stability



Consider the mass-spring-damper system. Laws of physics lead us to

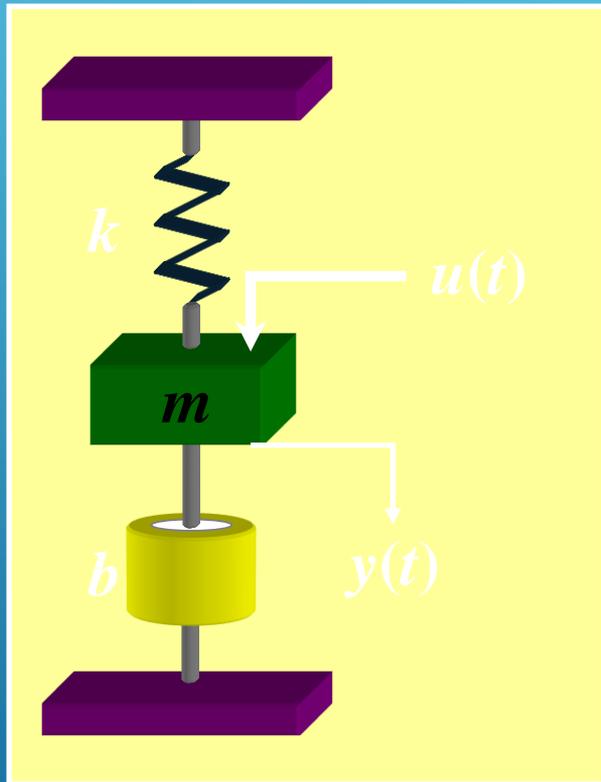
$$m\ddot{y} + b\dot{y} + ky = u$$

Let us define the state as

$$x_1(t) = y(t)$$

$$x_2(t) = \dot{y}(t)$$

State Space Representation



Dynamics

$$m\ddot{y} + b\dot{y} + ky = u$$

State

$$x_1(t) = y(t)$$

$$x_2(t) = \dot{y}(t)$$

State equation

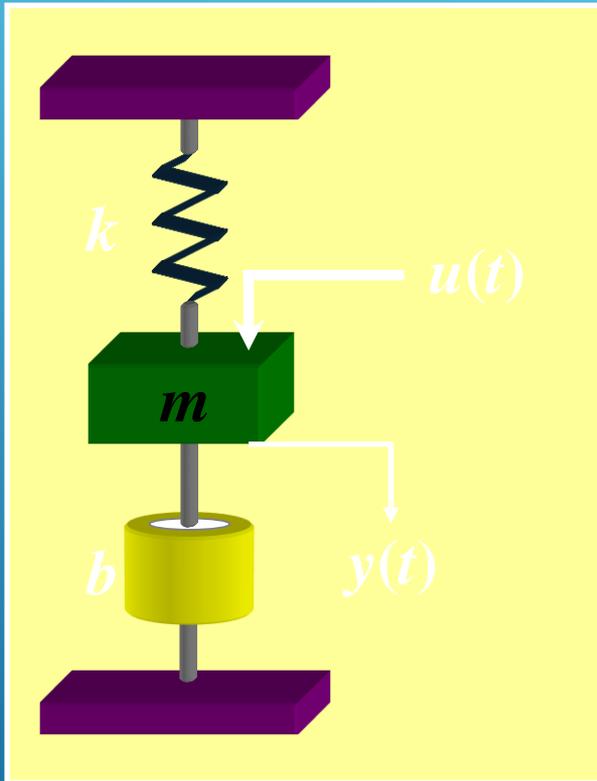
$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = -\frac{k}{m}x_1 - \frac{b}{m}x_2 + \frac{1}{m}u$$

Output equation

$$y = x_1$$

State Space Representation

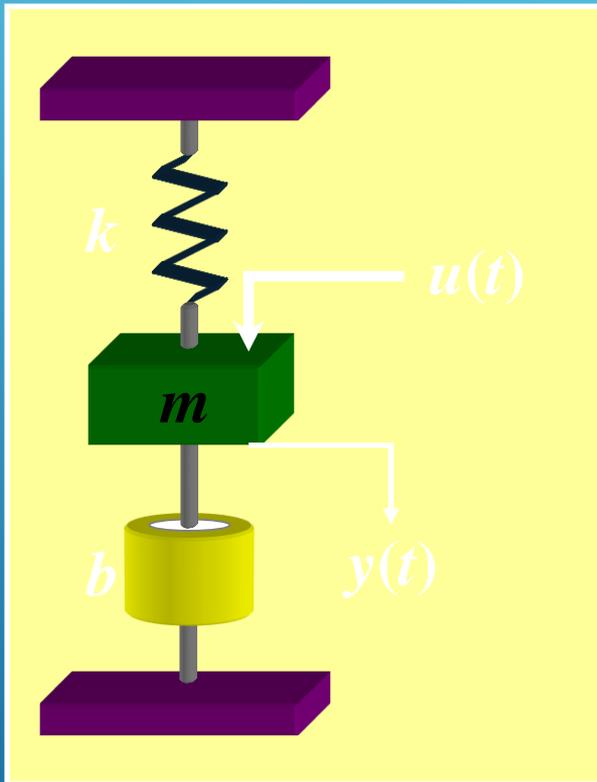


$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1/m \end{bmatrix} u$$

$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

$$\begin{aligned} \dot{x} &= Ax + Bu \\ y &= Cx + Du \end{aligned}$$

Correlation between State Space Representations and Transfer Functions



$$\dot{x} = Ax + Bu$$
$$y = Cx + Du$$

$$sX(s) - x(0) = AX(s) + BU(s)$$
$$Y(s) = CX(s) + DU(s)$$

$$(sI - A)X(s) = \cancel{x(0)} + BU(s)$$
$$Y(s) = CX(s) + DU(s)$$

$$X(s) = (sI - A)^{-1} BU(s)$$
$$Y(s) = CX(s) + DU(s)$$

Correlation between State Space Representations and Transfer Functions

$$\begin{aligned} X(s) &= (sI - A)^{-1} BU(s) \\ Y(s) &= CX(s) + DU(s) \end{aligned}$$

$$\frac{Y(s)}{U(s)} = C(sI - A)^{-1} B + D$$

$$\frac{Y(s)}{U(s)} = \begin{bmatrix} 1 & 0 \end{bmatrix} \left\{ \begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} - \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \right\}^{-1} \begin{bmatrix} 0 \\ 1/m \end{bmatrix} + 0$$

Transfer function

$$\frac{Y(s)}{U(s)} = \frac{1}{ms^2 + bs + k}$$

Time Domain Dynamics

$$m\ddot{y} + b\dot{y} + ky = u$$



Relation between State Space Representations and Transfer Functions

What does this tell us?

Transfer Function

$$\frac{Y(s)}{U(s)} = \frac{1}{ms^2 + bs + k}$$

Time Domain Dynamics

$$m\ddot{y} + b\dot{y} + ky = u$$

$$\begin{aligned}\dot{x} &= Ax + Bu \\ y &= Cx + Du\end{aligned}$$

State Space Representation

Relation between State Space Representations and Transfer Functions



The dynamics of a linear system can be expressed in any of the forms

- ① Differential equations
- ② Transfer functions
- ③ State space representation

One has to note that given the TF for a system, state space representation is not unique. Different realizations can be performed.

State Space Representation

State: The essence of past that influences the future. State is the smallest set of variables to describe the dynamics of a system

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1/m \end{bmatrix} u$$

State Variables
The dimension of the state vector is fixed for a given system

State Space Representation

The dynamics of the system can uniquely be determined with the knowledge of $x_1(t_0)$, $x_2(t_0)$ and $u(t)$ for $t \geq t_0$

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1/m \end{bmatrix} u$$

The state space is a space whose axes are the states. For the above example, axes are x_1 axis and x_2 axis.

State Space Representation

In general we have a set of differential equations



$$\begin{aligned}\dot{\underline{x}} &= \underline{f}(\underline{x}, \underline{u}, t) \\ \underline{y} &= \underline{g}(\underline{x}, \underline{u}, t)\end{aligned}$$

We linearize them and get



$$\begin{aligned}\dot{\underline{x}}(t) &= A(t)\underline{x}(t) + B(t)\underline{u}(t) \\ \underline{y}(t) &= C(t)\underline{x}(t) + D(t)\underline{u}(t)\end{aligned}$$

The elements of the matrices may be time-varying

State Space Representation

We simply dropped the underlines. Clearly the state will be a vector if its dimension is larger than one.


$$\begin{array}{l} \underline{\dot{x}} = A\underline{x} + B\underline{u} \\ \underline{y} = C\underline{x} + D\underline{u} \end{array} \quad \text{or} \quad \begin{array}{l} \dot{x} = Ax + Bu \\ y = Cx + Du \end{array}$$

Or may be time invariant

State Space Representation and Stability

Assume you are
given the system



$$\begin{aligned}\dot{x} &= Ax + Bu \\ y &= Cx + Du\end{aligned}$$



The stability of this system can be determined by checking the eigenvalues of the matrix A



Those eigenvalues are the poles of the transfer function

$$\frac{Y(s)}{U(s)} = C(sI - A)^{-1}B + D$$

State Space Representation and Stability

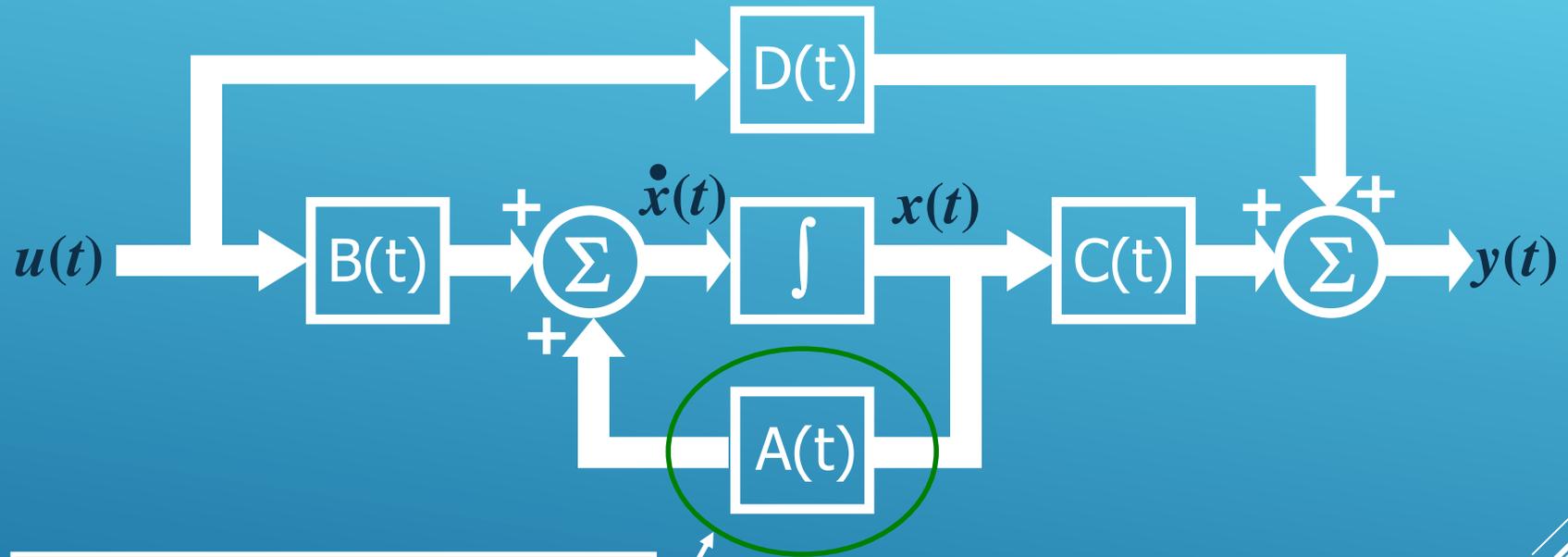
$$\text{eig}\{A\} = \{\lambda_1, \lambda_2, \lambda_3, \dots, \lambda_n\}$$

$$|\lambda I - A| = 0$$

- If $\text{Re}\{\lambda_i\} < 0$ for $i=1,2,\dots,n$
Then the system is stable
- If $\text{Re}\{\lambda_i\} > 0$ for some i
Then the system is unstable
- If $\text{Re}\{\lambda_i\} = 0$ for some i
Then the system has poles on the imaginary axis

State Space Representation and Stability

In summary...



Check the real parts
of the eigenvalues
of $A(t)$

An Example on Stability

$$\dot{x}_1 = x_2 - x_3$$

$$\dot{x}_2 = -x_1 + x_2 + x_3$$

$$\dot{x}_3 = ax_1 + x_2 - x_3 + u$$

$$y = x_1$$

$$T(s) = \frac{Y(s)}{U(s)} = \frac{X_1(s)}{U(s)}$$

Determine the range of a for stability

An Example on Stability

$$\dot{x}_1 = x_2 - x_3$$

$$\dot{x}_2 = -x_1 + x_2 + x_3$$

$$\dot{x}_3 = ax_1 + x_2 - x_3 + u$$

$$y = x_1$$

$$T(s) = \frac{Y(s)}{U(s)} = \frac{X_1(s)}{U(s)}$$

$$sX_1(s) = X_2(s) - X_3(s)$$

$$sX_2(s) = -X_1(s) + X_2(s) + X_3(s)$$

$$sX_3(s) = aX_1(s) + X_2(s) - X_3(s) + U(s)$$

An Example on Stability

$$sX_1(s) = X_2(s) - X_3(s)$$

$$sX_2(s) = -X_1(s) + X_2(s) + X_3(s)$$

$$sX_3(s) = aX_1(s) + X_2(s) - X_3(s) + U(s)$$

$$X_3(s) = X_2(s) - sX_1(s)$$

$$X_2(s) = -\frac{s+1}{s-2}X_1(s)$$

An Example on Stability

$$X_3(s) = X_2(s) - sX_1(s)$$

$$X_2(s) = -\frac{s+1}{s-2}X_1(s)$$

$$X_3(s) = -\frac{s^2 - s + 1}{s - 2}X_1(s)$$

An Example on Stability

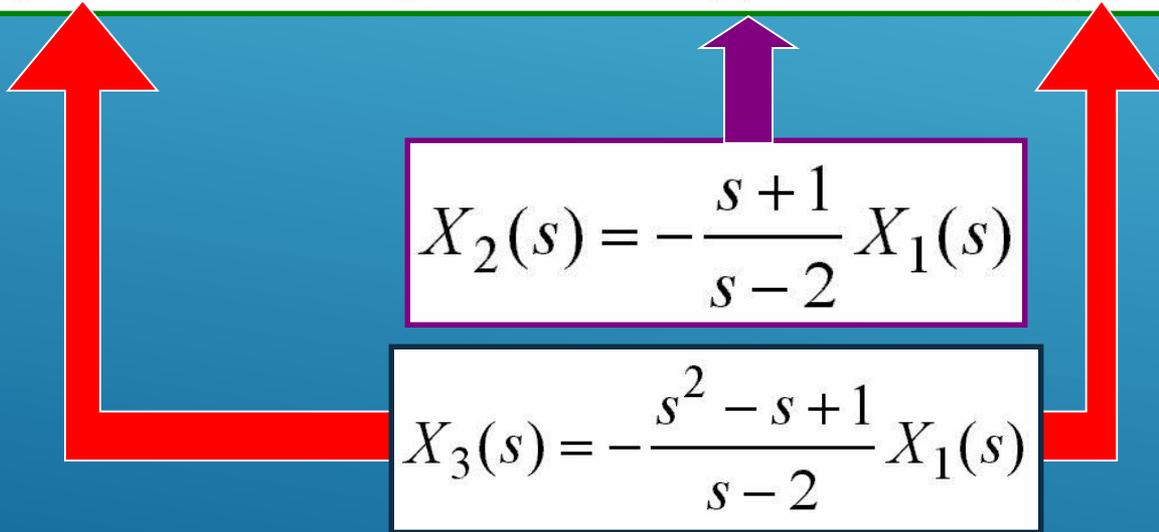
$$sX_1(s) = X_2(s) - X_3(s)$$

$$sX_2(s) = -X_1(s) + X_2(s) + X_3(s)$$

$$sX_3(s) = aX_1(s) + X_2(s) - X_3(s) + U(s)$$

$$X_2(s) = -\frac{s+1}{s-2} X_1(s)$$

$$X_3(s) = -\frac{s^2 - s + 1}{s-2} X_1(s)$$



An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

s^3	1	$a - 1$
s^2	0	$-2a$
s^1		
s^0		



An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

s^3	1	$a - 1$
s^2	ε	$-2a$
s^1	$[\varepsilon(a - 1) + 2a] / \varepsilon$	0
s^0	$-2a$	

An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

**This term
becomes
negative**

$$a < 0$$

s^3	1	$a - 1$
s^2	ε	$-2a$
s^1	$a(1 + 2/\varepsilon) - 1$	0
s^0	$-2a$	

An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

s^3	1	$a - 1$
s^2	ε	$-2a$
s^1	$a(1 + 2/\varepsilon) - 1$	0
s^0	$-2a$	

$$\begin{aligned} a &> 1/[1+2/\varepsilon] \\ \varepsilon &> 0 \text{ and } \varepsilon \approx 0 \\ a &> 0 \end{aligned}$$

**This term
becomes
negative**

An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

$$A = \begin{bmatrix} 0 & 1 & -1 \\ -1 & 1 & 1 \\ a & 1 & -1 \end{bmatrix}$$

The system is unstable regardless of the value of a . In other words, A has at least one eigenvalue in the right half s -plane

Can this system have poles on the imaginary axis?

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

Assume the answer is yes... Then for $s = j\alpha$ the denominator must be zero, i.e.

$$(j\alpha)^3 + (a - 1)(j\alpha) - 2a = 0$$

$$j(-\alpha^3 + (a - 1)\alpha) - 2a = 0$$

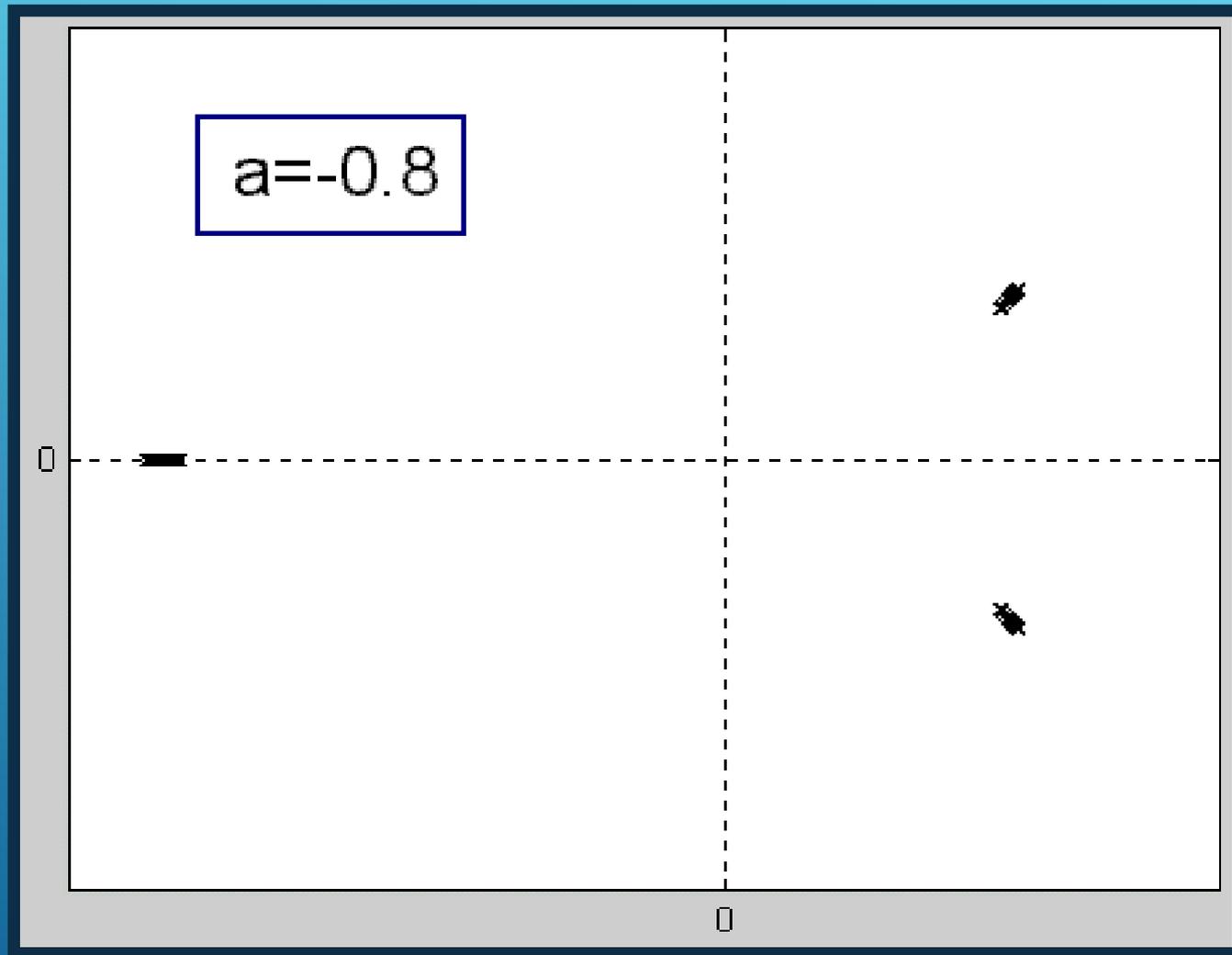
No value of a can lead to zero real and imaginary parts simultaneously

Can this system have complex conjugate poles on the imaginary axis?

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

The answer is no. Only one pole passes through the origin when $a=0$.

Watch now...



REAL AXIS

IMAGINARY AXIS