

# CONTROL SYSTEMS



**Doç. Dr. Murat Efe**

**WEEK 7**

## Handling the special cases

### A row is entirely zero

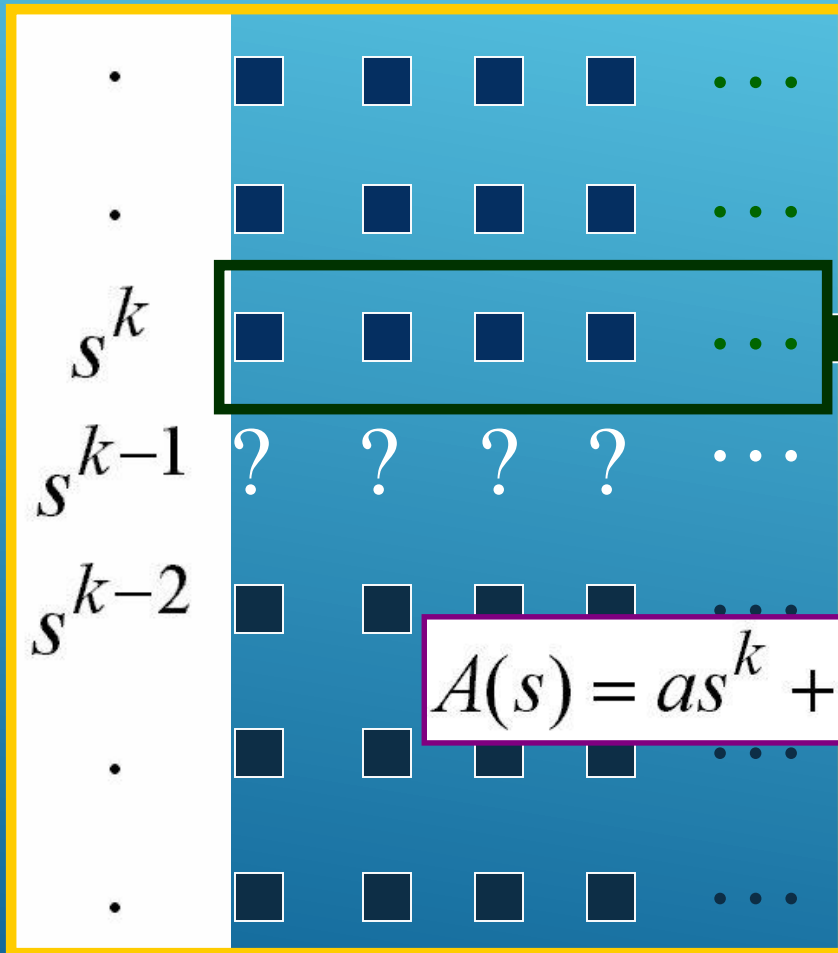
•	■	■	■	■	...
•	■	■	■	■	...
$s^k$	■	■	■	■	...
$s^{k-1}$	0	0	0	0	...
$s^{k-2}$	■	■	■	■	...
•	■	■	■	■	...
•	■	■	■	■	...

**This row is  
entirely zero!**

**You cannot proceed to  
calculate these terms!**

## Handling the special cases

### A row is entirely zero



Determine the auxiliary polynomial  $A(s)$  from this row

$$A(s) = as^k + bs^{k-2} + cs^{k-4} + ds^{k-6} + \dots$$

## Handling the special cases

### A row is entirely zero

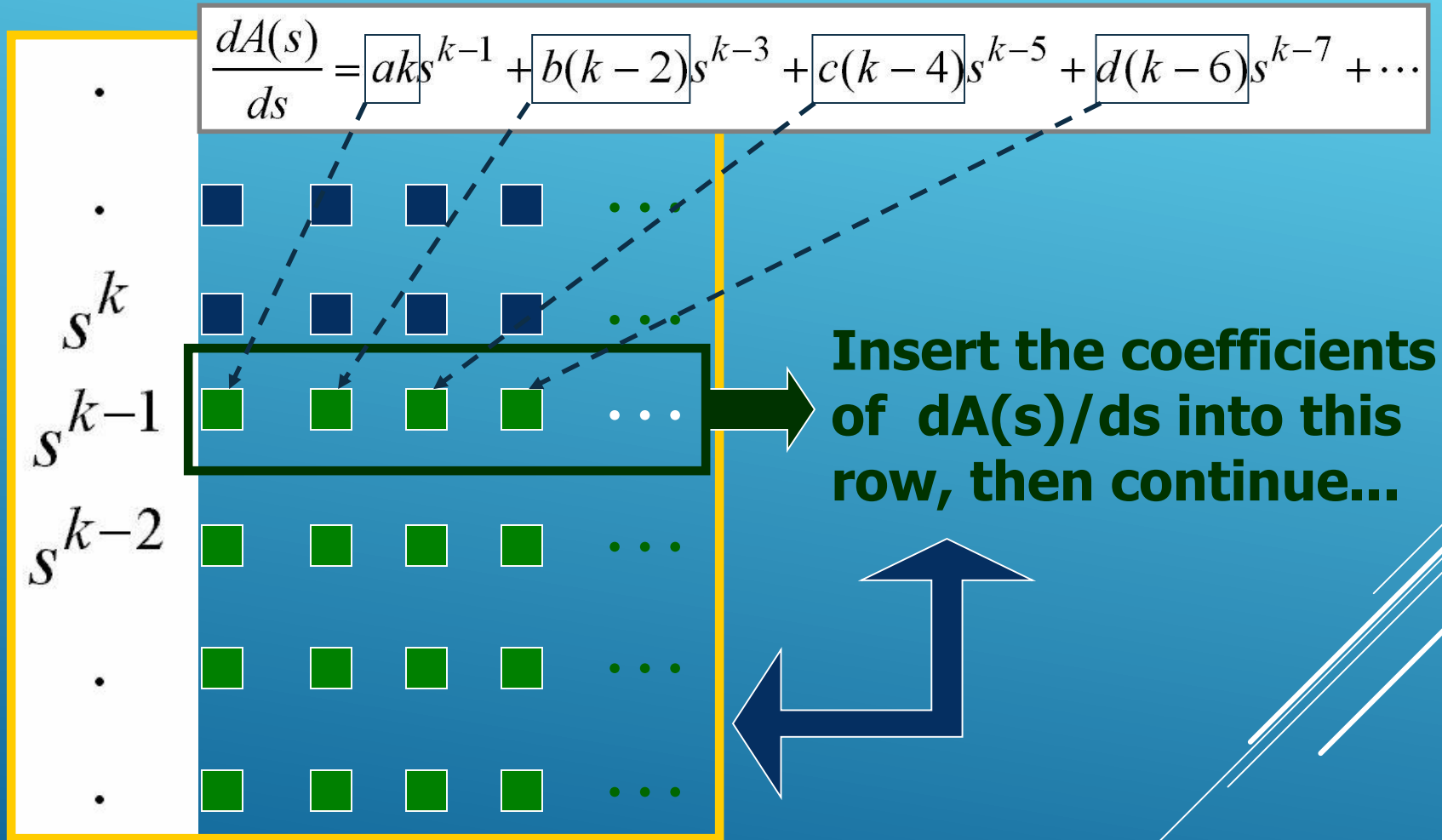
.	■	■	■	■	...
.	■	■	■	■	...
$s^k$	$a$	$b$	$c$	$d$	...
$s^{k-1}$	?	?	?	?	...
$s^{k-2}$	■	■	■	■	...
.	■	■	■	■	...
.	■	■	■	■	...

Determine the auxiliary polynomial  $A(s)$  from this row

$$A(s) = as^k + bs^{k-2} + cs^{k-4} + ds^{k-6} + \dots$$

## Handling the special cases

### A row is entirely zero



## Handling the special cases - An Example

### A row is entirely zero

$$s^5 + 2s^4 + 24s^3 + 48s^2 - 25s - 50 = 0$$

$s^5$	1	24	-25
$s^4$	2	48	-50
$s^3$	0	0	



$$A(s) = 2s^4 + 48s^2 - 50$$



$$\frac{dA(s)}{ds} = 8s^3 + 96s$$



## Handling the special cases - An Example

### A row is entirely zero



$$s^5 + 2s^4 + 24s^3 + 48s^2 - 25s - 50 = 0$$

$s^5$	1	24	-25
$s^4$	2	48	-50
$s^3$	8	96	
$s^2$	24	-50	
$s^1$	112.6666	0	
$s^0$	-50		

$$\frac{dA(s)}{ds} = 8s^3 + 96s$$

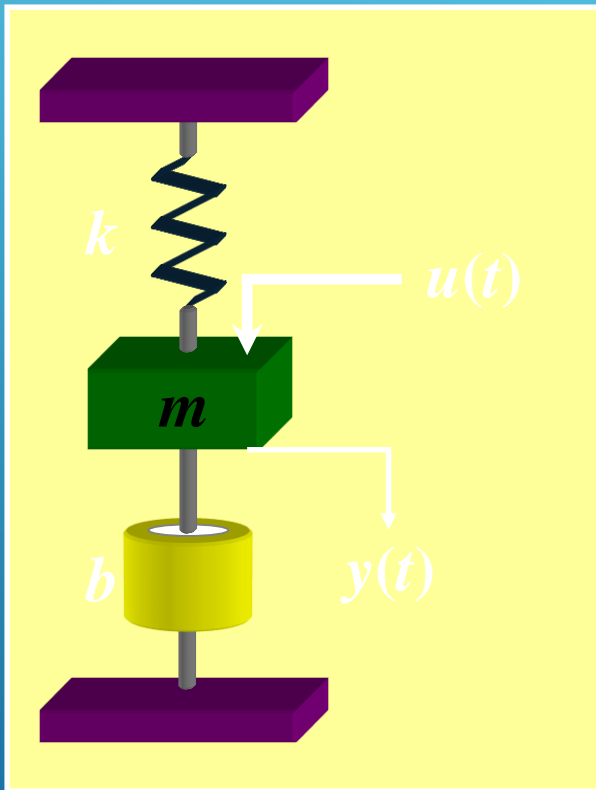
**One sign change: One of the roots is in the right half s-plane**

## Final Remarks on Routh Criterion

-  The goal of using Routh stability criterion is to explain whether the characteristic equation has roots on the right half  $s$ -plane.
-  A parameter (e.g. a gain) may change the locations of the CL poles, and Routh criterion lets us know for which range the CL system is stable.



## P-3 State Space Representation and Stability



Consider the mass-spring-damper system. Laws of physics lead us to

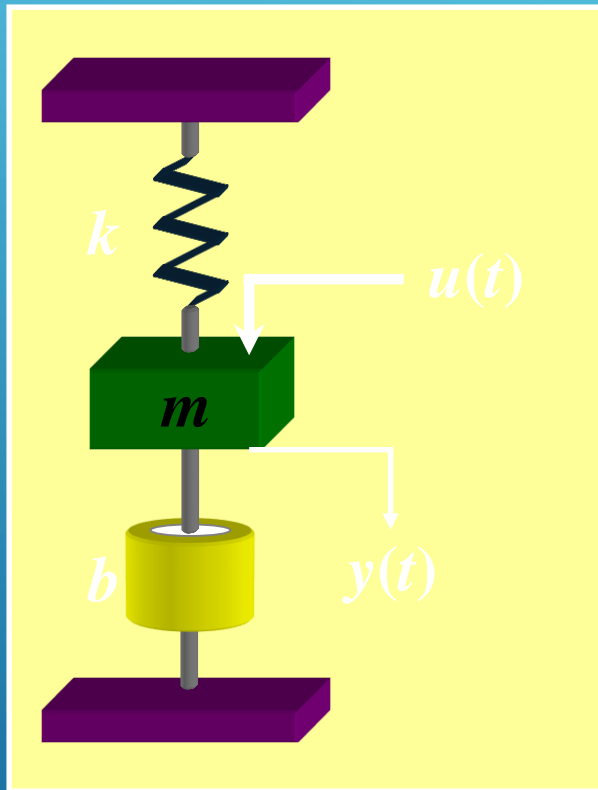
$$m\ddot{y} + b\dot{y} + ky = u$$

Let us define the state as

$$x_1(t) = y(t)$$

$$x_2(t) = \dot{y}(t)$$

# State Space Representation



## Dynamics

$$m\ddot{y} + b\dot{y} + ky = u$$

## State

$$x_1(t) = y(t)$$

$$x_2(t) = \dot{y}(t)$$

## State equation

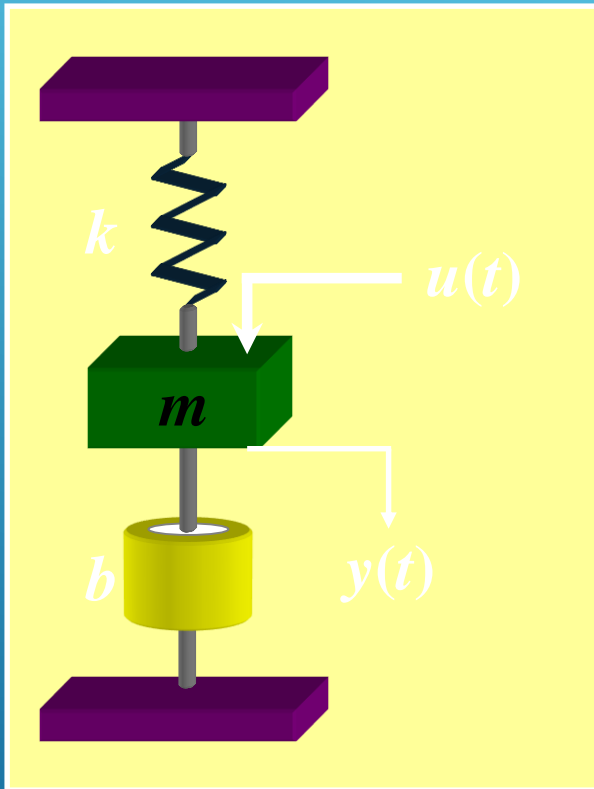
$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = -\frac{k}{m}x_1 - \frac{b}{m}x_2 + \frac{1}{m}u$$

## Output equation

$$y = x_1$$

# State Space Representation

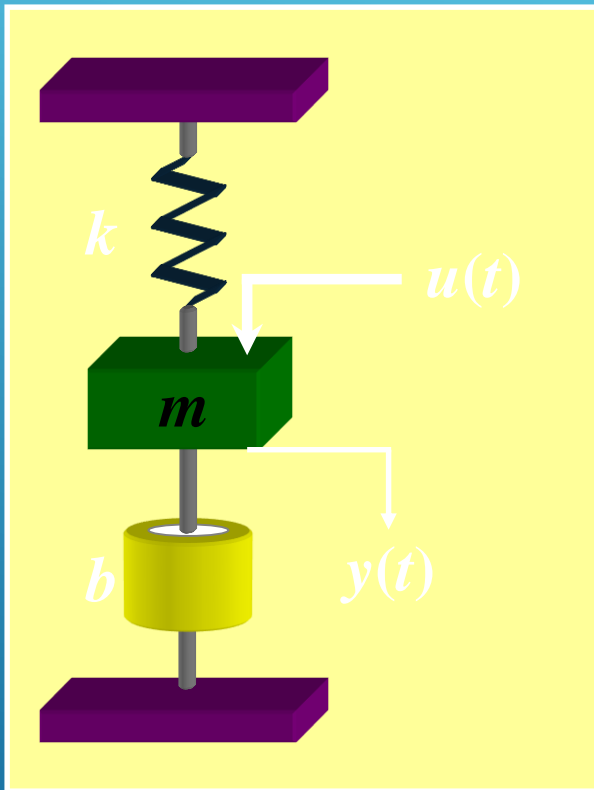


$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1/m \end{bmatrix} u$$

$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

$$\begin{aligned} \dot{x} &= Ax + Bu \\ y &= Cx + Du \end{aligned}$$

# Correlation between State Space Representations and Transfer Functions



$$\dot{x} = Ax + Bu$$
$$y = Cx + Du$$

$$sX(s) - x(0) = AX(s) + BU(s)$$
$$Y(s) = CX(s) + DU(s)$$

$$(sI - A)X(s) = \cancel{x(0)} + BU(s)$$
$$Y(s) = CX(s) + DU(s)$$

$$X(s) = (sI - A)^{-1} BU(s)$$
$$Y(s) = CX(s) + DU(s)$$

# Correlation between State Space Representations and Transfer Functions

$$\begin{aligned} X(s) &= (sI - A)^{-1} BU(s) \\ Y(s) &= CX(s) + DU(s) \end{aligned}$$

$$\frac{Y(s)}{U(s)} = C(sI - A)^{-1} B + D$$

$$\frac{Y(s)}{U(s)} = \begin{bmatrix} 1 & 0 \end{bmatrix} \left\{ \begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} - \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \right\}^{-1} \begin{bmatrix} 0 \\ 1/m \end{bmatrix} + 0$$

Transfer function

$$\frac{Y(s)}{U(s)} = \frac{1}{ms^2 + bs + k}$$

Time Domain Dynamics

$$m\ddot{y} + b\dot{y} + ky = u$$



# Relation between State Space Representations and Transfer Functions

What does this tell us?

Transfer Function

$$\frac{Y(s)}{U(s)} = \frac{1}{ms^2 + bs + k}$$

Time Domain Dynamics

$$m\ddot{y} + b\dot{y} + ky = u$$

$$\begin{aligned}\dot{x} &= Ax + Bu \\ y &= Cx + Du\end{aligned}$$

State Space Representation

# Relation between State Space Representations and Transfer Functions



The dynamics of a linear system can be expressed in any of the forms

- ① Differential equations
- ② Transfer functions
- ③ State space representation

**One has to note that given the TF for a system, state space representation is not unique. Different realizations can be performed.**

# State Space Representation

**State:** The essence of past that influences the future. State is the smallest set of variables to describe the dynamics of a system

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1/m \end{bmatrix} u$$

**State Variables**  
The dimension of the state vector is fixed for a given system



# State Space Representation

The dynamics of the system can uniquely be determined with the knowledge of  $x_1(t_0)$ ,  $x_2(t_0)$  and  $u(t)$  for  $t \geq t_0$

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -k/m & -b/m \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1/m \end{bmatrix} u$$

The state space is a space whose axes are the states. For the above example, axes are  $x_1$  axis and  $x_2$  axis.

# State Space Representation

In general we have a set of differential equations



$$\begin{aligned}\dot{\underline{x}} &= \underline{f}(\underline{x}, \underline{u}, t) \\ \underline{y} &= \underline{g}(\underline{x}, \underline{u}, t)\end{aligned}$$

We linearize them and get




$$\begin{aligned}\dot{\underline{x}}(t) &= A(t)\underline{x}(t) + B(t)\underline{u}(t) \\ \underline{y}(t) &= C(t)\underline{x}(t) + D(t)\underline{u}(t)\end{aligned}$$

The elements of the matrices may be time-varying

# State Space Representation

We simply dropped the underlines. Clearly the state will be a vector if its dimension is larger than one.


$$\begin{array}{l} \underline{\dot{x}} = A\underline{x} + B\underline{u} \\ \underline{y} = C\underline{x} + D\underline{u} \end{array} \quad \text{or} \quad \begin{array}{l} \dot{x} = Ax + Bu \\ y = Cx + Du \end{array}$$

Or may be time invariant

# State Space Representation and Stability

Assume you are  
given the system



$$\begin{aligned}\dot{x} &= Ax + Bu \\ y &= Cx + Du\end{aligned}$$



**The stability of this system can be determined by checking the eigenvalues of the matrix A**



**Those eigenvalues are the poles of the transfer function**

$$\frac{Y(s)}{U(s)} = C(sI - A)^{-1}B + D$$

# State Space Representation and Stability

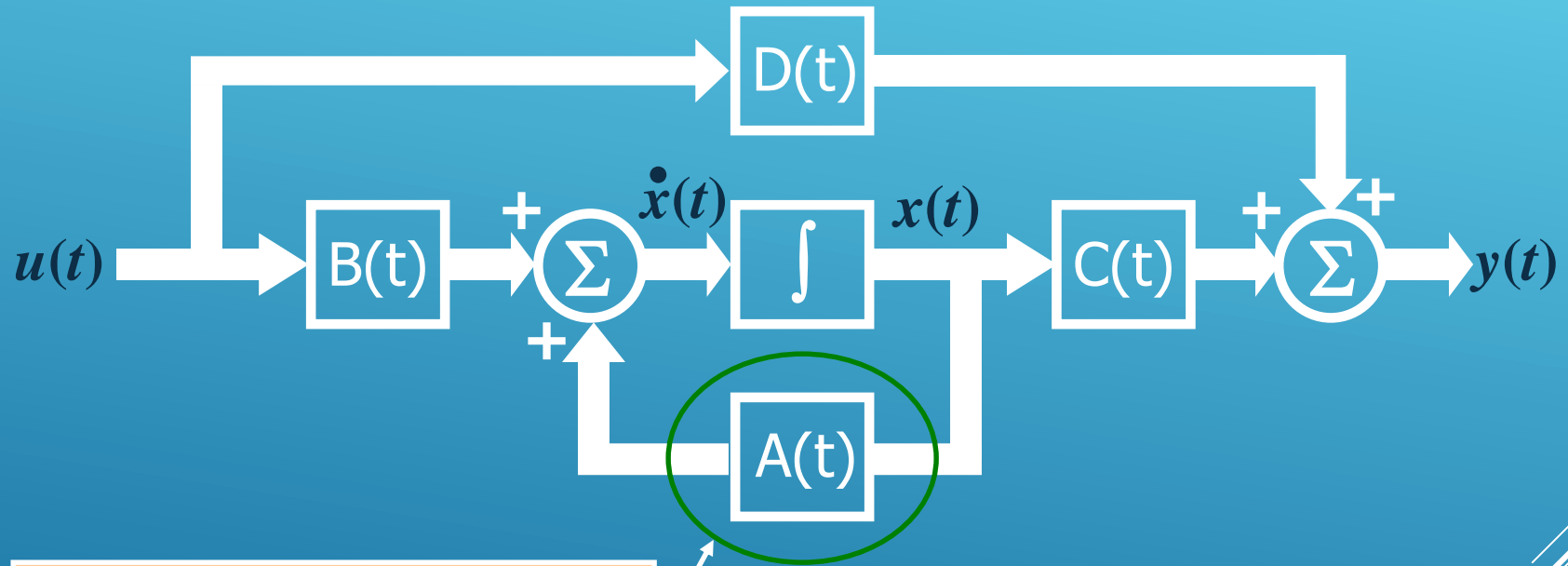
$$\text{eig}\{A\} = \{\lambda_1, \lambda_2, \lambda_3, \dots, \lambda_n\}$$

$$|\lambda I - A| = 0$$

- If  $\text{Re}\{\lambda_i\} < 0$  for  $i=1, 2, \dots, n$   
Then the system is stable
- If  $\text{Re}\{\lambda_i\} > 0$  for some  $i$   
Then the system is unstable
- If  $\text{Re}\{\lambda_i\} = 0$  for some  $i$   
Then the system has poles on the imaginary axis

# State Space Representation and Stability

## In summary...



Check the real parts  
of the eigenvalues  
of  $A(t)$

## An Example on Stability

$$\dot{x}_1 = x_2 - x_3$$

$$\dot{x}_2 = -x_1 + x_2 + x_3$$

$$\dot{x}_3 = ax_1 + x_2 - x_3 + u$$

$$y = x_1$$

$$T(s) = \frac{Y(s)}{U(s)} = \frac{X_1(s)}{U(s)}$$

**Determine the range of  $a$  for stability**

## An Example on Stability

$$\dot{x}_1 = x_2 - x_3$$

$$\dot{x}_2 = -x_1 + x_2 + x_3$$

$$\dot{x}_3 = ax_1 + x_2 - x_3 + u$$

$$y = x_1$$

$$T(s) = \frac{Y(s)}{U(s)} = \frac{X_1(s)}{U(s)}$$

$$sX_1(s) = X_2(s) - X_3(s)$$

$$sX_2(s) = -X_1(s) + X_2(s) + X_3(s)$$

$$sX_3(s) = aX_1(s) + X_2(s) - X_3(s) + U(s)$$



## An Example on Stability

$$sX_1(s) = X_2(s) - X_3(s)$$

$$sX_2(s) = -X_1(s) + X_2(s) + X_3(s)$$

$$sX_3(s) = aX_1(s) + X_2(s) - X_3(s) + U(s)$$

$$X_3(s) = X_2(s) - sX_1(s)$$

$$X_2(s) = -\frac{s+1}{s-2}X_1(s)$$

## An Example on Stability

$$X_3(s) = X_2(s) - sX_1(s)$$

$$X_2(s) = -\frac{s+1}{s-2} X_1(s)$$

$$X_3(s) = -\frac{s^2 - s + 1}{s - 2} X_1(s)$$

## An Example on Stability

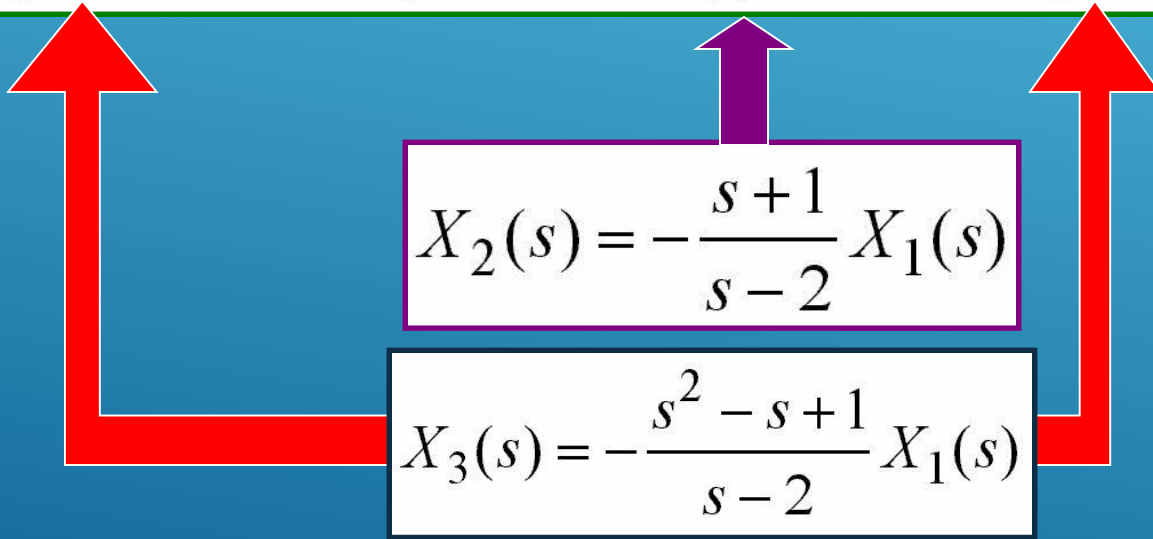
$$sX_1(s) = X_2(s) - X_3(s)$$

$$sX_2(s) = -X_1(s) + X_2(s) + X_3(s)$$

$$sX_3(s) = aX_1(s) + X_2(s) - X_3(s) + U(s)$$

$$X_2(s) = -\frac{s+1}{s-2} X_1(s)$$

$$X_3(s) = -\frac{s^2 - s + 1}{s-2} X_1(s)$$



## An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

$s^3$	1	$a - 1$
$s^2$	0	$-2a$
$s^1$		
$s^0$		



## An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

$s^3$	1	$a - 1$
$s^2$	$\varepsilon$	$-2a$
$s^1$	$[\varepsilon(a - 1) + 2a] / \varepsilon$	0
$s^0$	$-2a$	

## An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

This term  
becomes  
negative

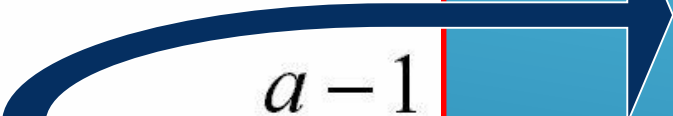
$$a < 0$$

$s^3$	1	$a - 1$
$s^2$	$\varepsilon$	$-2a$
$s^1$	$a(1 + 2/\varepsilon) - 1$	0
$s^0$	$-2a$	

## An Example on Stability


$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

$s^3$	1	$a - 1$
$s^2$	$\varepsilon$	$-2a$
$s^1$	$a(1 + 2/\varepsilon) - 1$	0
$s^0$	$-2a$	



$$\begin{aligned} a &> 1/[1 + 2/\varepsilon] \\ \varepsilon &> 0 \text{ and } \varepsilon \approx 0 \\ a &> 0 \end{aligned}$$

**This term  
becomes  
negative**



## An Example on Stability

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

$$A = \begin{bmatrix} 0 & 1 & -1 \\ -1 & 1 & 1 \\ a & 1 & -1 \end{bmatrix}$$

**The system is unstable regardless of the value of  $a$ . In other words,  $A$  has at least one eigenvalue in the right half  $s$ -plane**



Can this system have poles on the imaginary axis?

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

Assume the answer is yes... Then for  $s=j\alpha$  the denominator must be zero, i.e.

$$(j\alpha)^3 + (a - 1)(j\alpha) - 2a = 0$$

$$j(-\alpha^3 + (a - 1)\alpha) - 2a = 0$$

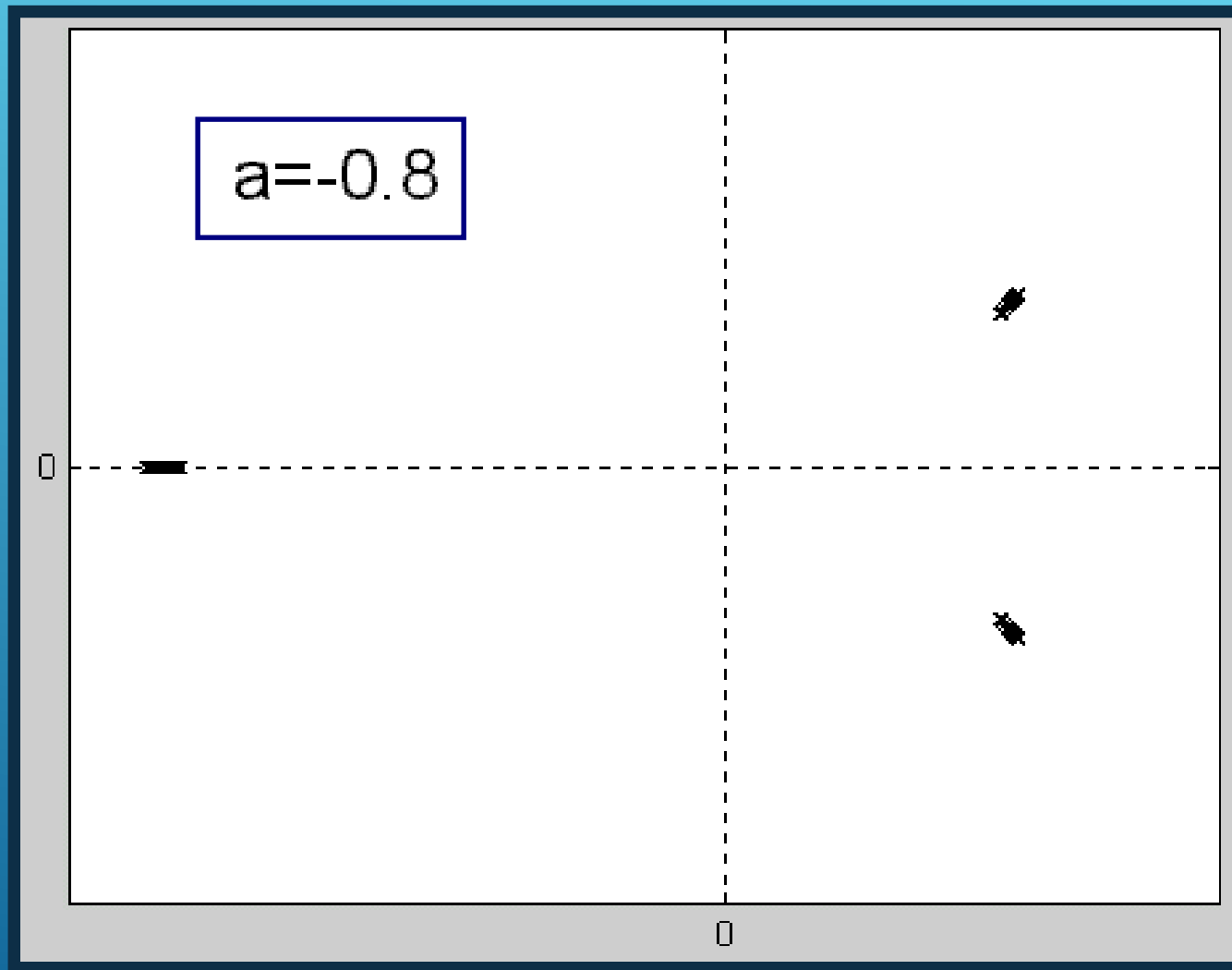
**No value of  $a$  can lead to zero real and imaginary parts simultaneously**

Can this system have complex conjugate poles on the imaginary axis?

$$T(s) = \frac{2 - s}{s^3 + (a - 1)s - 2a}$$

**The answer is no. Only one pole passes through the origin when  $a=0$ .**

Watch now...



REAL AXIS

IMAGINARY AXIS

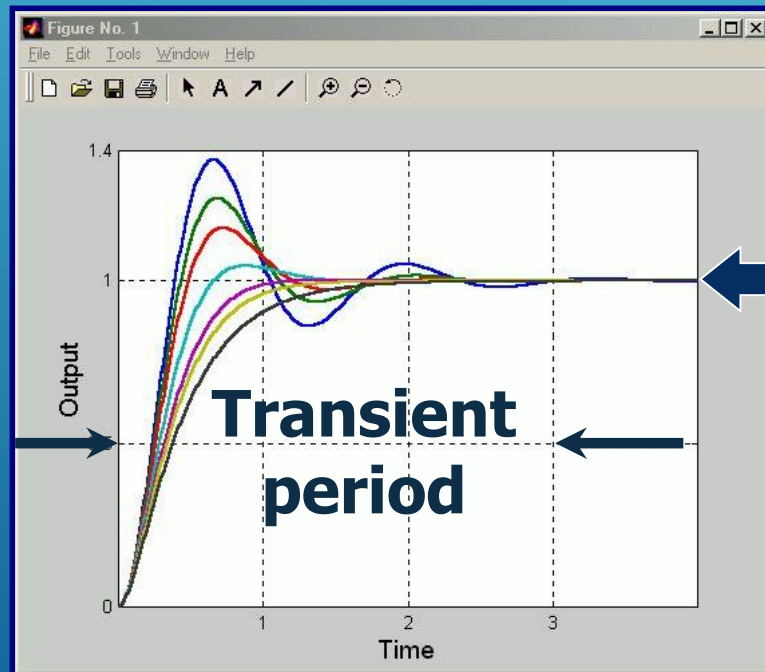
# This week's agenda

- **Transient Response Analysis**
  - **First order systems**
  - **Second Order Systems**
  - **Using Matlab with Simulink**
- **Steady State Errors**

## P-4 Transient Response Analysis



Transient response is the evolution of the signals in a control system until the final behavior is reached.

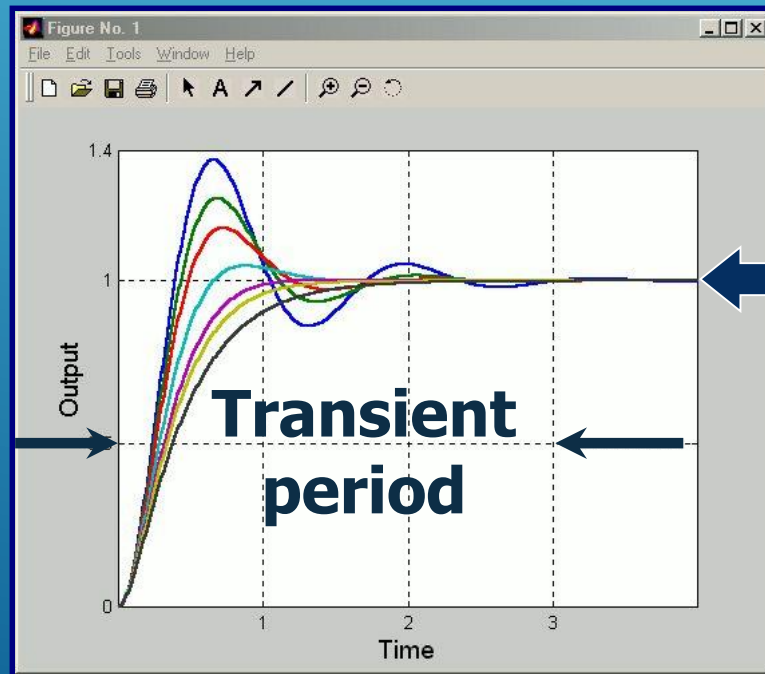


The final values for all curves are the same but the way they converge differ

# Transient Response Analysis



Transient response is the evolution of the signals in a control system until the final behavior is reached.



Which one best suits your needs?

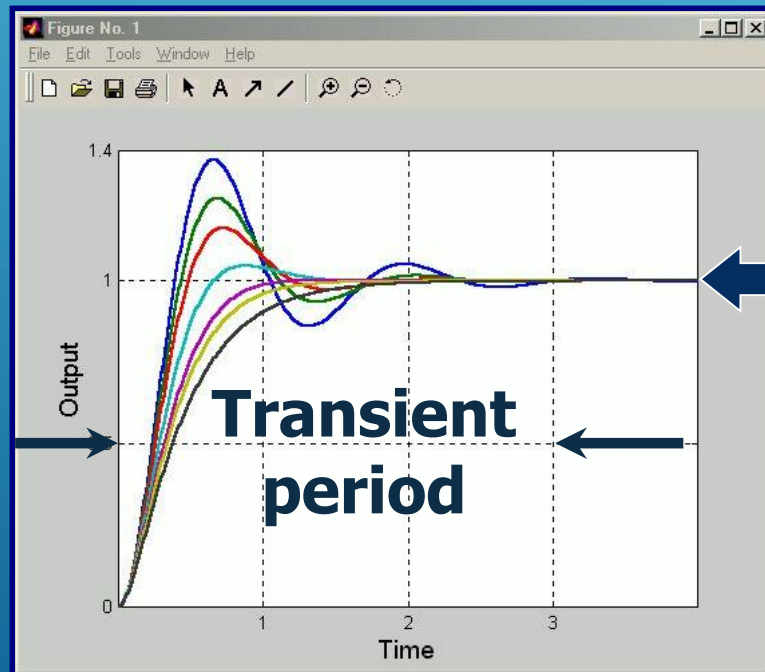
# Transient Response Analysis



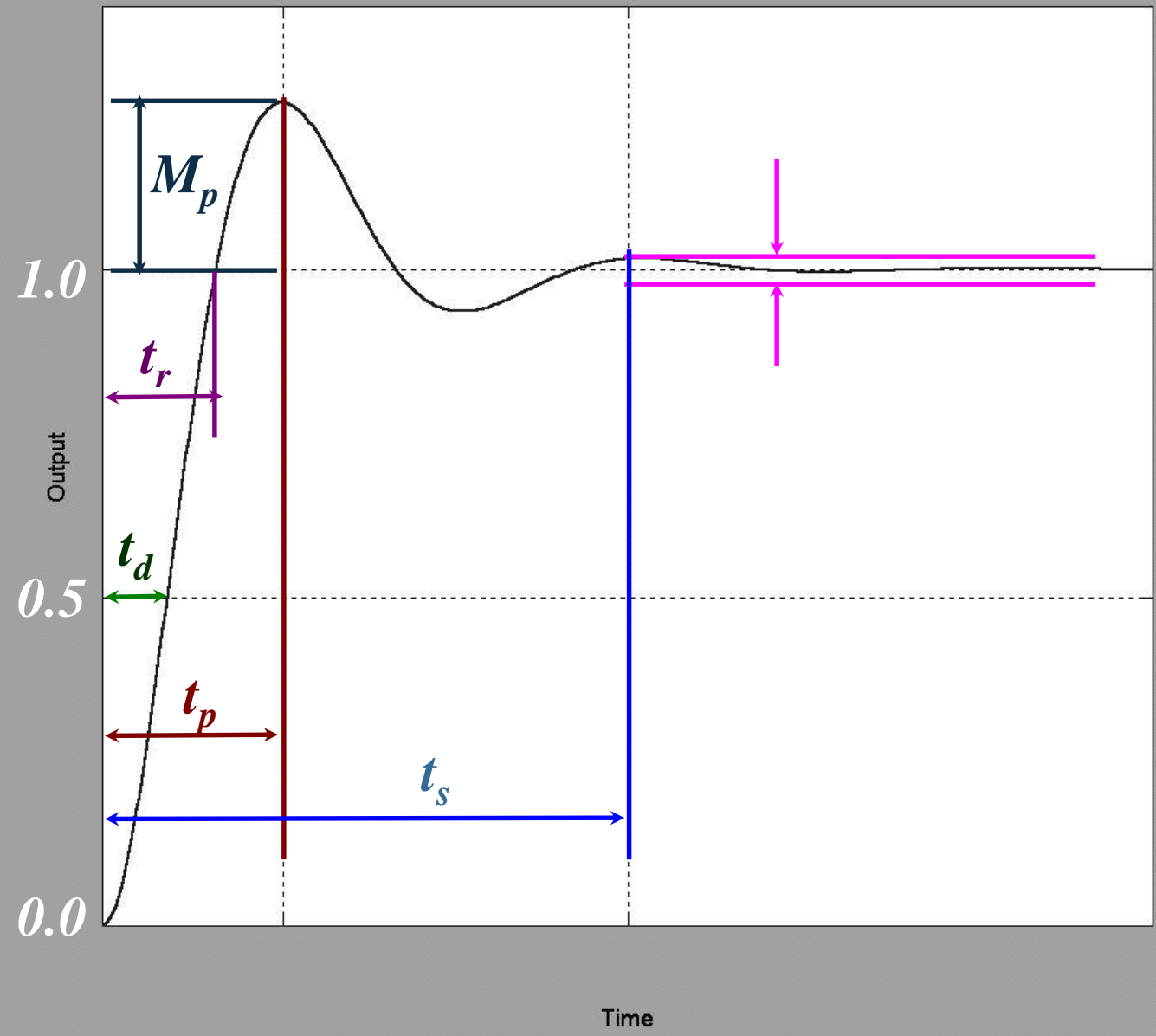
**What are our needs?**



**We have to quantify the result with a set of performance specifications**



**Which one best suits your needs?**





# Transient Response Analysis



**Did it have to be the response to a step input?**



**The answer is no. We select several reasonable test signals to study/improve the transient response.**



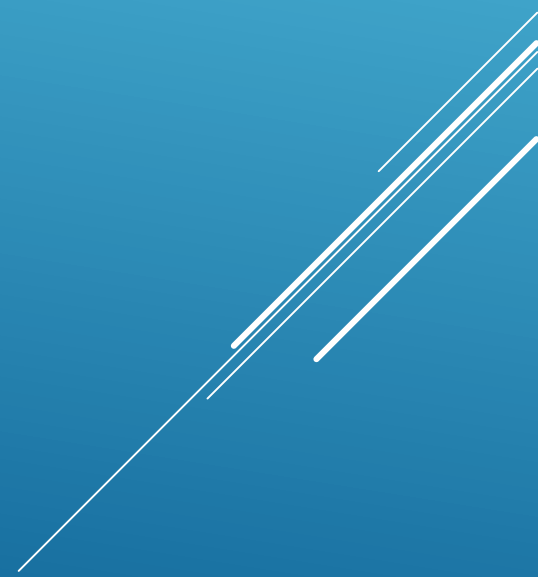
# Transient Response Analysis



**What inputs are reasonable?**



**Those you may encounter in the practical implementation of your control system are reasonable to study**

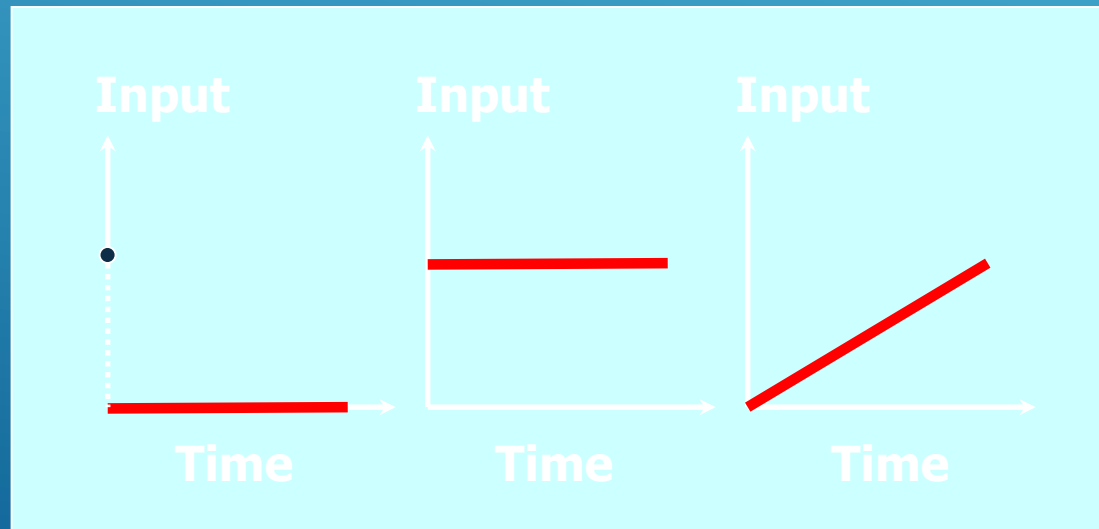


# Transient Response Analysis



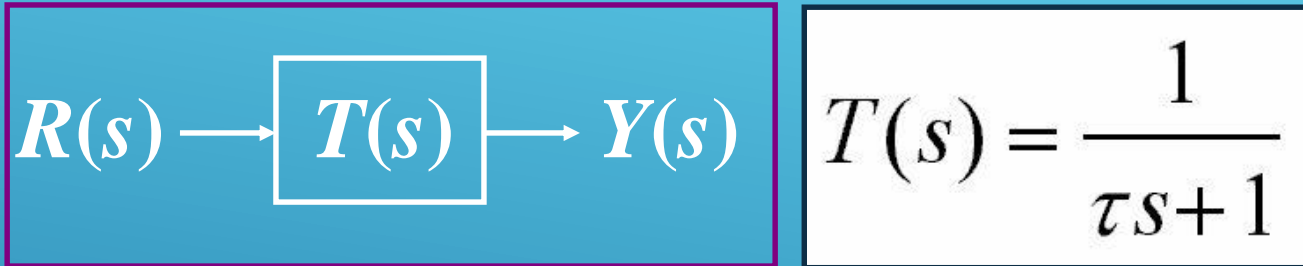
## More explicitly

- ✳ Impulse function to study the effects of shock inputs
- ✳ Step input to study sudden disturbances
- ✳ Ramp input to study gradually changing inputs



# Transient Response Analysis

## First Order Systems



**We will study**

- ✳ The unit step response,  $R(s)=1/s$
- ✳ The unit ramp response,  $R(s)=1/s^2$
- ✳ The unit impulse response,  $R(s)=1$



**Clearly,  $Y(s)=T(s)R(s)$**

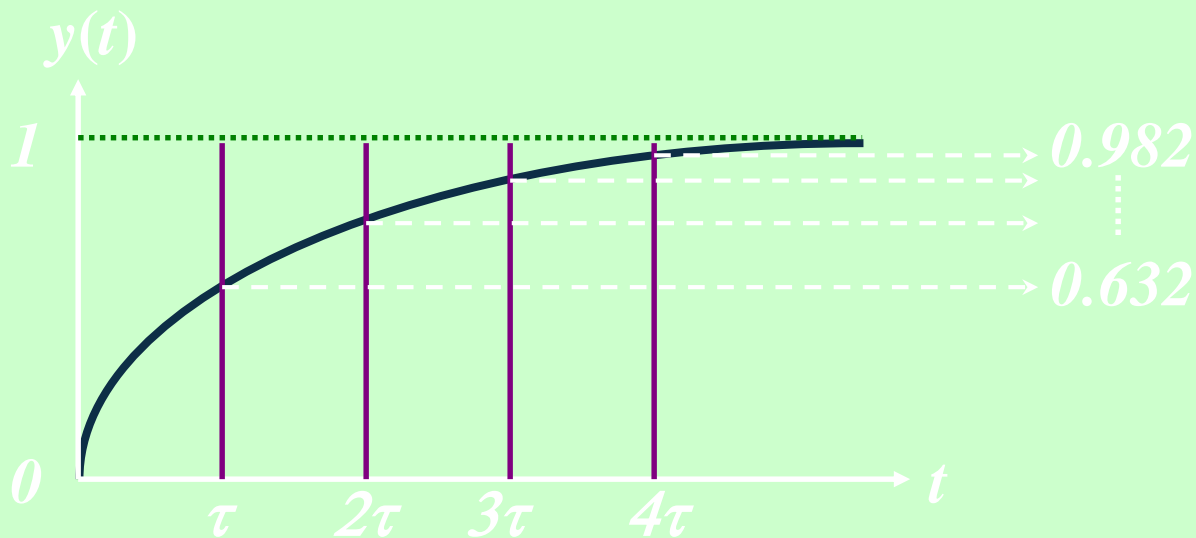
# Transient Response Analysis

## First Order Systems, $R(s)=1/s$

$$Y(s) = \frac{1}{\tau s + 1} \frac{1}{s} = \frac{1}{s} - \frac{1}{s + (1/\tau)}$$

$$y(t) = 1 - e^{-t/\tau}, \text{ for } t \geq 0$$

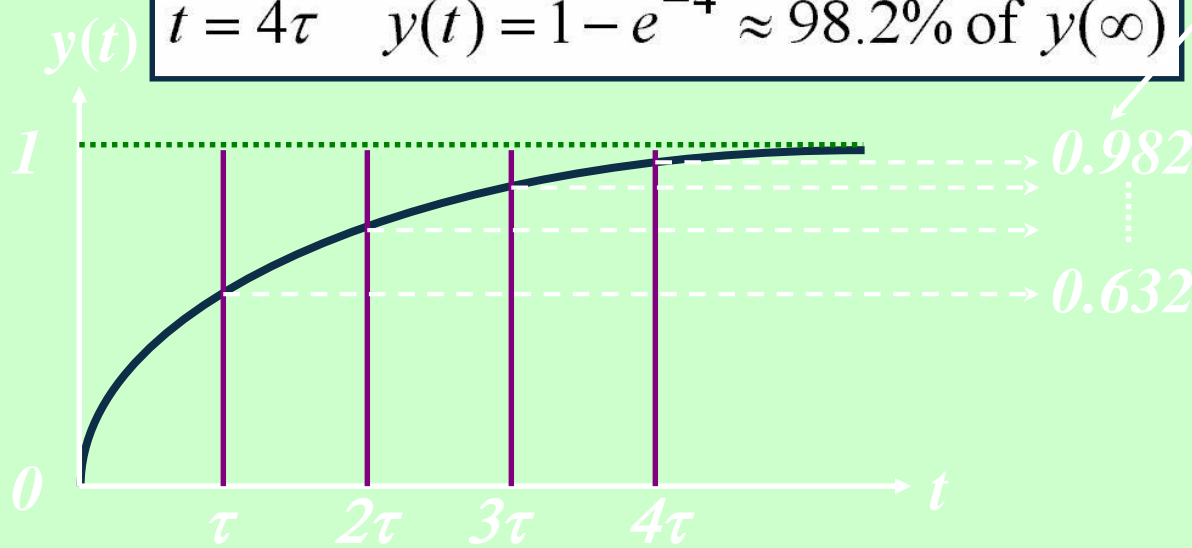
Unit step response of a first order system



# Transient Response Analysis

## First Order Systems, $R(s)=1/s$

$t = 0$	$y(t) = 1 - e^{-0} = 0\%$	of $y(\infty)$
$t = \tau$	$y(t) = 1 - e^{-1} \approx 63.2\%$	of $y(\infty)$
$t = 2\tau$	$y(t) = 1 - e^{-2} \approx 86.5\%$	of $y(\infty)$
$t = 3\tau$	$y(t) = 1 - e^{-3} \approx 95.0\%$	of $y(\infty)$
$t = 4\tau$	$y(t) = 1 - e^{-4} \approx 98.2\%$	of $y(\infty)$



**Within 2%  
of  $y(\infty)=1$**

# Transient Response Analysis

## First Order Systems, $R(s)=1/s^2$

Unit ramp response of a first order system

$$Y(s) = \frac{1}{\tau s + 1} \frac{1}{s^2} = \frac{1}{s^2} - \frac{\tau}{s} + \frac{\tau^2}{\tau s + 1}$$

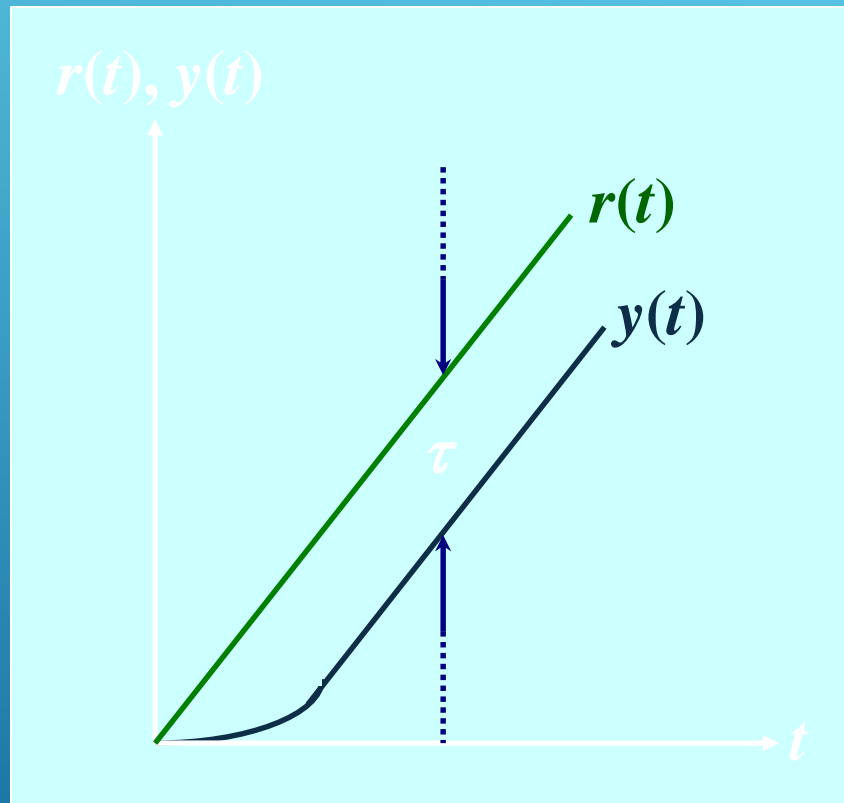
$$y(t) = t - \tau + \tau e^{-t/\tau}, \text{ for } t \geq 0$$

$$e(t) = r(t) - y(t) = \tau \left(1 - e^{-t/\tau}\right)$$

$$\lim_{t \rightarrow \infty} e(t) = \tau = e(\infty)$$

# Transient Response Analysis

## First Order Systems, $R(s)=1/s^2$



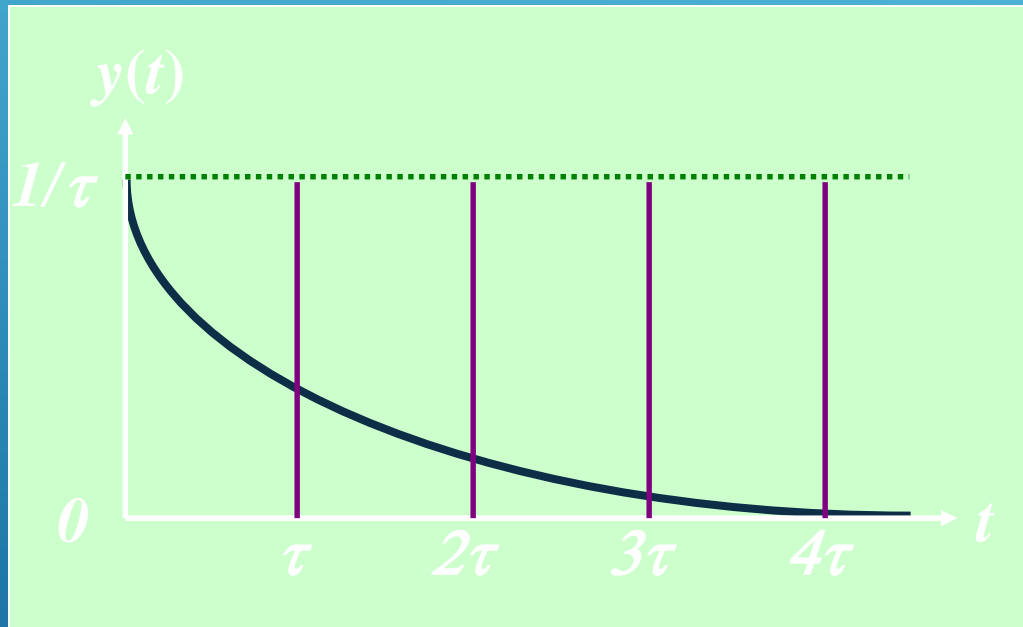
Unit ramp response of a first order system



# Transient Response Analysis

## First Order Systems, $R(s)=1$

Unit impulse response of a first order system



$$T(s) = \frac{1}{\tau s + 1}$$

$$Y(s) = T(s)$$

$$y(t) = \frac{1}{\tau} e^{-t/\tau}$$

for  $t \geq 0$