# Byzantine General Problem

Murat Osmanoglu

Bitcoin provides permissionless setting:

Bitcoin provides permissionless setting:

 Anyone can participate in the protocol and receive BTC as rewards by performing the PoW-based mining

Bitcoin provides permissionless setting:

- Anyone can participate in the protocol and receive BTC as rewards by performing the PoW-based mining
- The mechanism of pouring currency in the system via PoW, that makes it feasible for anyone(possessing sufficient hashing power) to participate

Bitcoin provides permissionless setting:

- Anyone can participate in the protocol and receive BTC as rewards by performing the PoW-based mining
- The mechanism of pouring currency in the system via PoW, that makes it feasible for anyone(possessing sufficient hashing power) to participate
- The ledger itself is public, readable, and writeable by anyone

• Participation is restricted

- Participation is restricted
- Producing transactions and/or blocks can only be performed after being authorized by the other nodes

- Participation is restricted
- Producing transactions and/or blocks can only be performed after being authorized by the other nodes
- The set of nodes is static : it's fixed and determined at the onset of the protocol execution

- Participation is restricted
- Producing transactions and/or blocks can only be performed after being authorized by the other nodes
- The set of nodes is static : it's fixed and determined at the onset of the protocol execution

can also be dynamic, i.e. the initial set of nodes agree on a specific set of rules to accept new players

 Prior the system operation the nodes register their certificates, generated by a certificate authority, that are included in the genesis block

- Prior the system operation the nodes register their certificates, generated by a certificate authority, that are included in the genesis block
- Using such certificates, all the nodes are capable of authenticating each participant and allowing interaction with the LOG

- Prior the system operation the nodes register their certificates, generated by a certificate authority, that are included in the genesis block
- Using such certificates, all the nodes are capable of authenticating each participant and allowing interaction with the LOG
- Certificates need to be revoked in case that the corresponding secret keys become exposed











 several divisions of Byzantine army camped outside of an enemy city







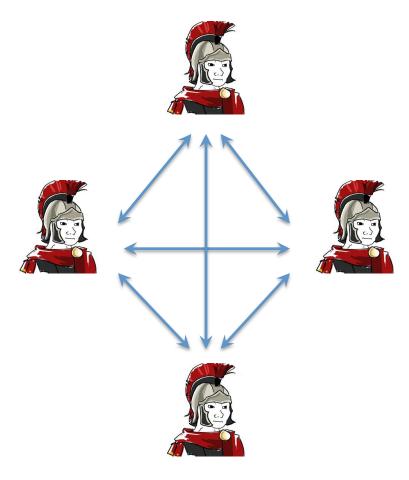




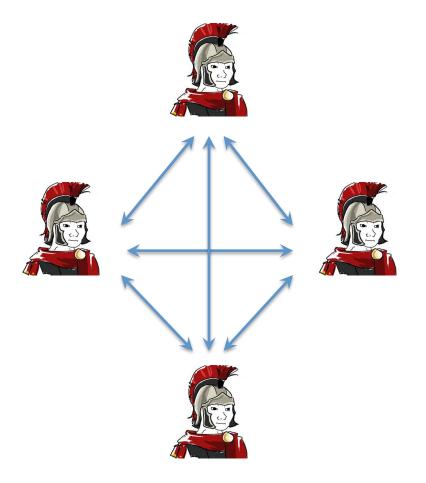


- several divisions of Byzantine army camped outside of an enemy city
- each of them commanded by a general

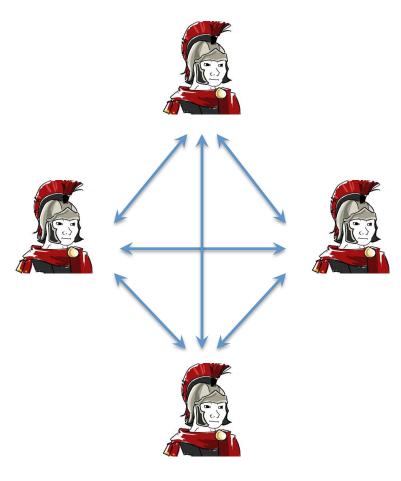




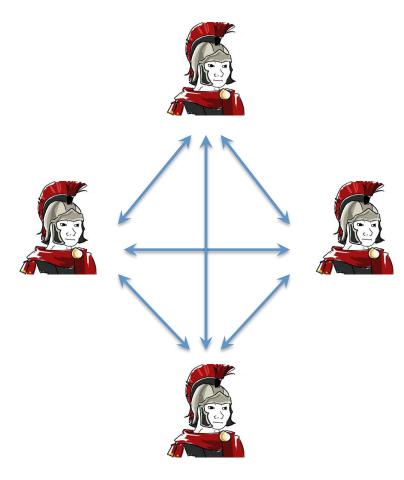
- several divisions of Byzantine army camped outside of an enemy city
- each of them commanded by a general
- generals communicate through messengers



- several divisions of Byzantine army camped outside of an enemy city
- each of them commanded by a general
- generals communicate through messengers
- they try to reach an agreement on a common plan (retreat or attack) while some of them may be traitors that sabotage this process

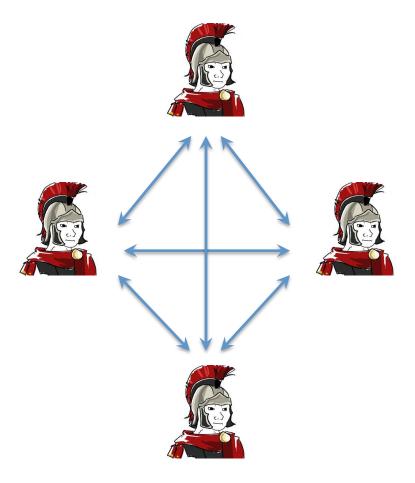


The generals must develop an algorithm guaranteeing that



The generals must develop an algorithm guaranteeing that

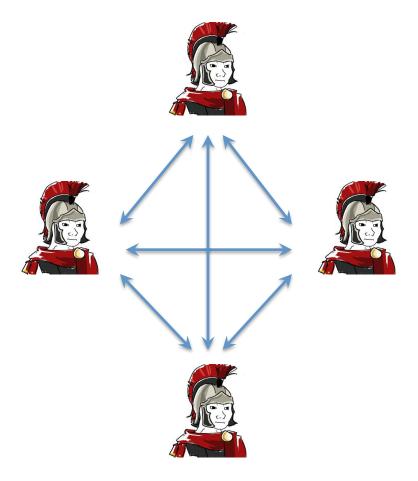
 all loyal generals decide on the same plan



The generals must develop an algorithm guaranteeing that

 all loyal generals decide on the same plan

The algorithm guarantees this regardless of what the traitors do



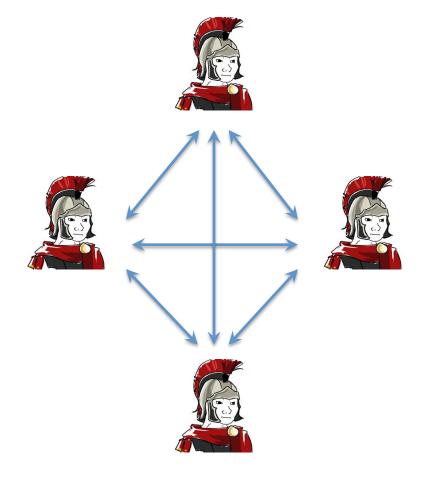
The generals must develop an algorithm guaranteeing that

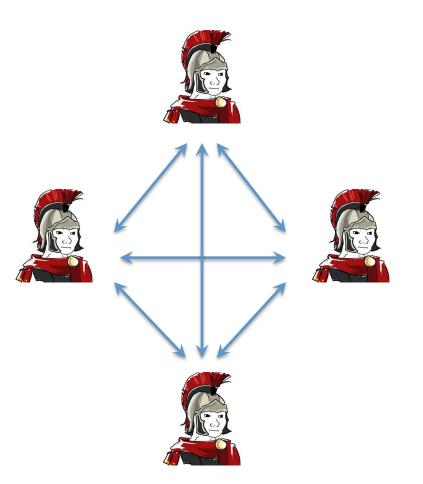
 all loyal generals decide on the same plan

The algorithm guarantees this regardless of what the traitors do

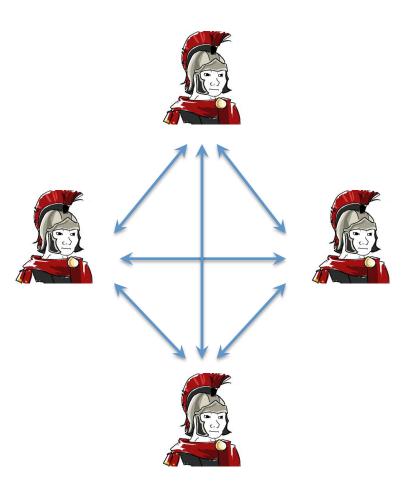
 a small number cannot cause the loyal generals to adopt the wrong plan

 Each general sends his decision v(i) to each other general by messenger

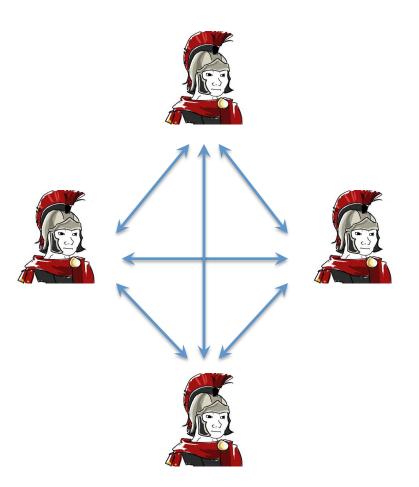




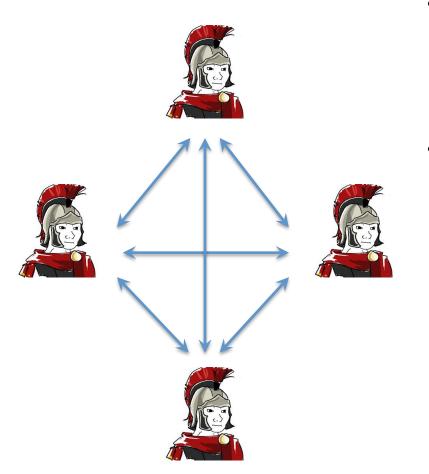
 Each general sends his decision v(i) to each other general by messenger traitors may send different messages to different generals



- Each general sends his decision v(i) to each other general by messenger traitors may send different messages to different generals
- Two conditions must be satisfied :

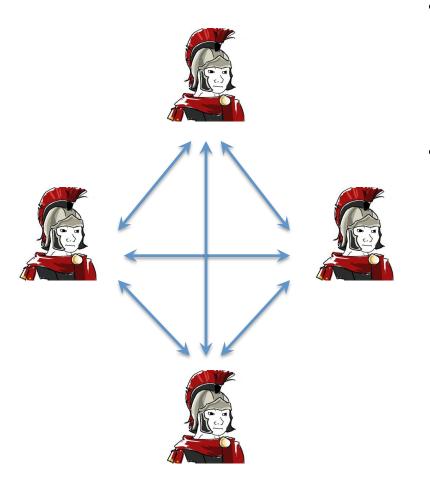


- Each general sends his decision v(i)
  to each other general by messenger
  traitors may send different messages
  to different generals
- Two conditions must be satisfied :
  - every loyal general must obtain the same set of messages v(1),...,v(n)

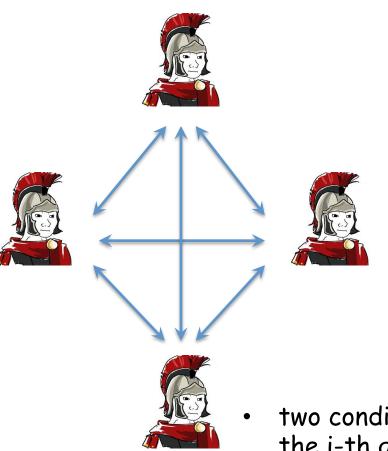


- Each general sends his decision v(i)
  to each other general by messenger
  traitors may send different messages
  to different generals
- Two conditions must be satisfied :
  - every loyal general must obtain the same set of messages v(1),...,v(n)

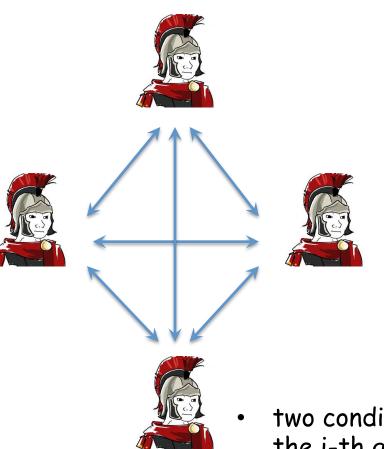
 if the i-th general is loyal, then the value he sends must be used by every loyal general as v(i)



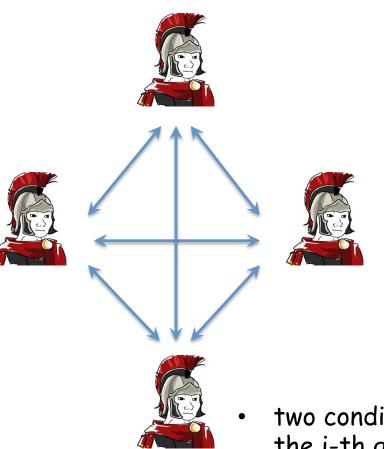
- Each general sends his decision v(i)
  to each other general by messenger
  traitors may send different messages
  to different generals
- Two conditions must be satisfied :
  - every loyal general must obtain the same set of messages v(1),...,v(n) any two loyal generals use the same v(i)
  - if the i-th general is loyal, then the value he sends must be used by every loyal general as v(i)



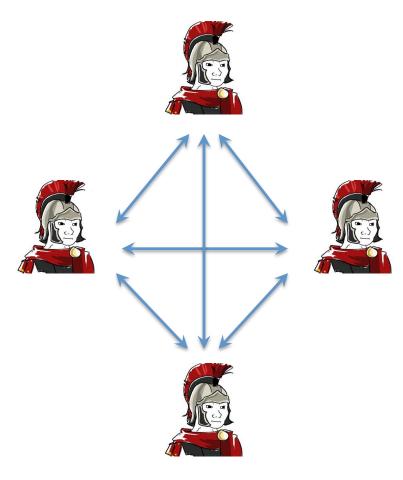
- Each general sends his decision v(i) to each other general by messenger traitors may send different messages to different generals
- Two conditions must be satisfied :
  - every loyal general must obtain the same set of messages v(1),...,v(n) any two loyal generals use the same v(i)
  - if the i-th general is loyal, then the value he sends must be used by every loyal general as v(i)
- two conditions now on the single value v(i) sent by the i-th general.



- Each general sends his decision v(i) to each other general by messenger traitors may send different messages to different generals
- Two conditions must be satisfied :
  - every loyal general must obtain the same set of messages v(1),...,v(n) any two loyal generals use the same v(i)
  - if the i-th general is loyal, then the value he sends must be used by every loyal general as v(i)
- two conditions now on the single value v(i) sent by the i-th general.
- turn the problem into a simpler one:

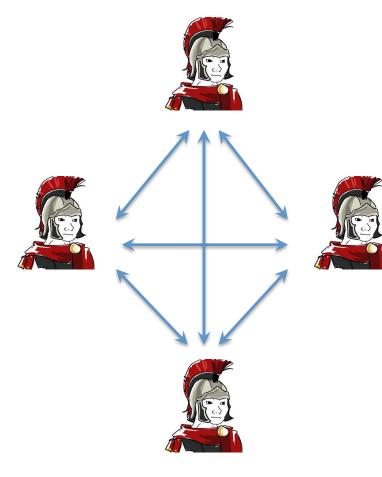


- Each general sends his decision v(i) to each other general by messenger traitors may send different messages to different generals
- Two conditions must be satisfied :
  - every loyal general must obtain the same set of messages v(1),...,v(n) any two loyal generals use the same v(i)
  - if the i-th general is loyal, then the value he sends must be used by every loyal general as v(i)
- two conditions now on the single value v(i) sent by the i-th general.
- turn the problem into a simpler one: How a single general sends his value to the others ?



#### <u>Definition</u>

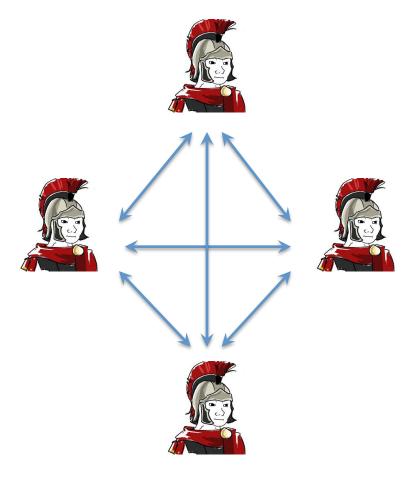
A general must send an order to his n - 1 lieutenant generals in a way that :



#### <u>Definition</u>

A general must send an order to his n - 1 lieutenant generals in a way that :

• all loyal lieutenants obey the same order



#### <u>Definition</u>

A general must send an order to his n - 1 lieutenant generals in a way that :

- all loyal lieutenants obey the same order
- if the general is loyal, then every loyal lieutenant obeys the order he sends



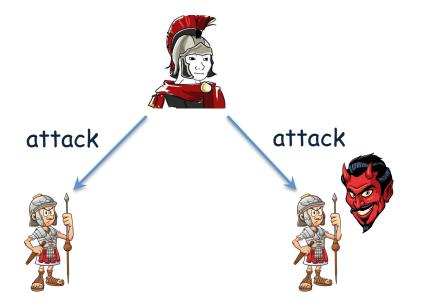


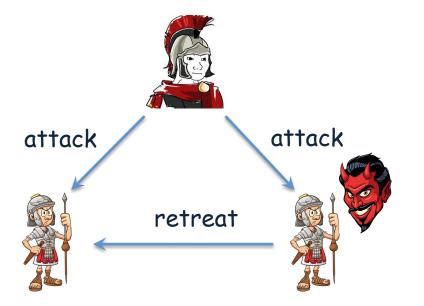


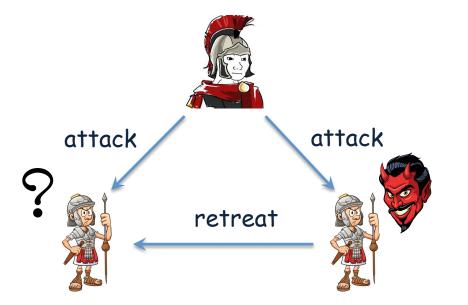


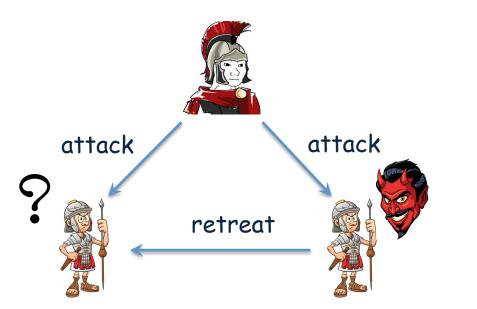








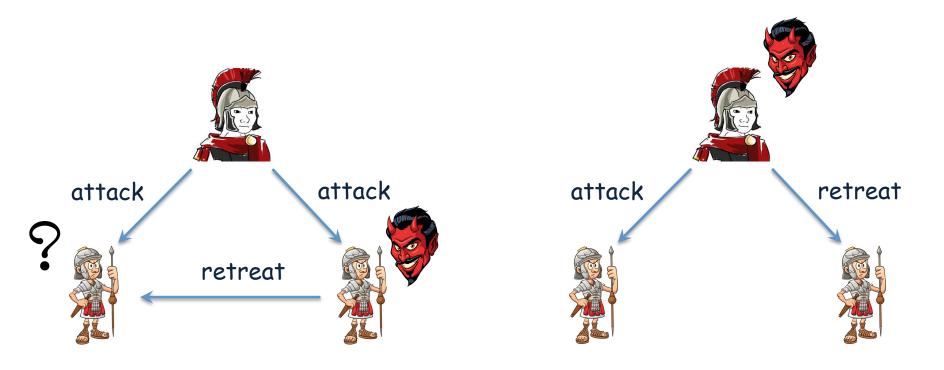


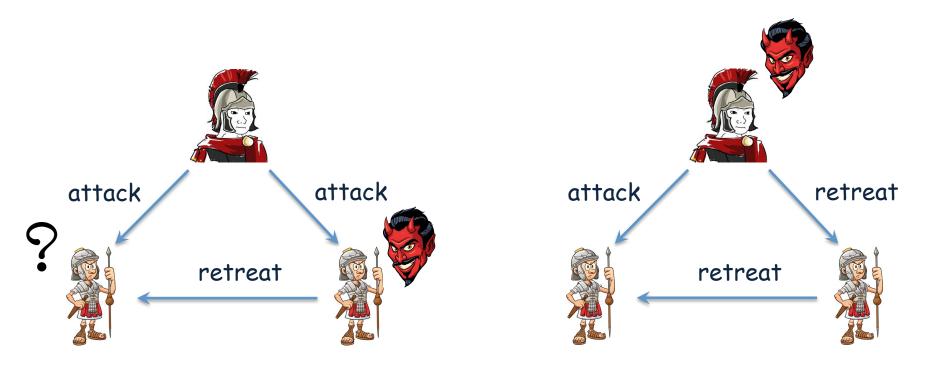


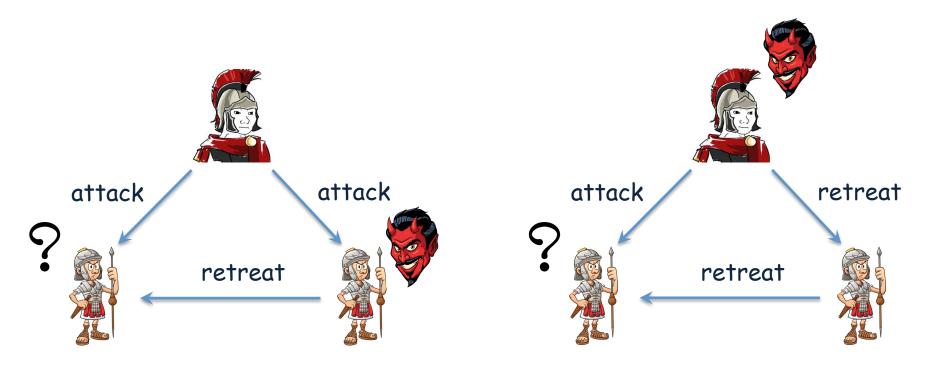


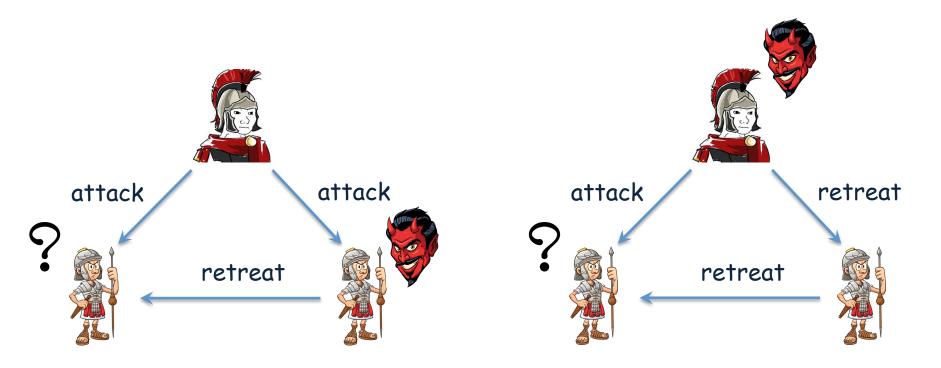












 no solution can work in the presence of a single traitor if there are only three generals

**Assumptions** 

#### **Assumptions**

• every message is delivered correctly

#### <u>Assumptions</u>

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.

#### Assumptions

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

#### Assumptions

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

#### <u>Assumptions</u>

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

#### <u>Algorithm</u>

• The commander sends his decision to every lieutenant

#### <u>Assumptions</u>

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

- The commander sends his decision to every lieutenant
- For each i, let v(i) be the value lieutenant i receives from the commander, else be RETREAT if he receives no value

#### Assumptions

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

- The commander sends his decision to every lieutenant
- For each i, let v(i) be the value lieutenant i receives from the commander, else be RETREAT if he receives no value
- Lieutenant i sends the value v(i) to all other lieutenants

#### Assumptions

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

- The commander sends his decision to every lieutenant
- For each i, let v(i) be the value lieutenant i receives from the commander, else be RETREAT if he receives no value
- Lieutenant i sends the value v(i) to all other lieutenants
- For each i, and each j≠i, let v(j) be the value lieutenant i received from lieutenant j, else be RETREAT if he receives no value

#### <u>Assumptions</u>

- every message is delivered correctly
- the receiver of a message knows who sent it prevents a traitor from interfering with the communication between other two generals.
- the absence of a message can be detected prevents a traitor to sabotage a decision by not sending messages

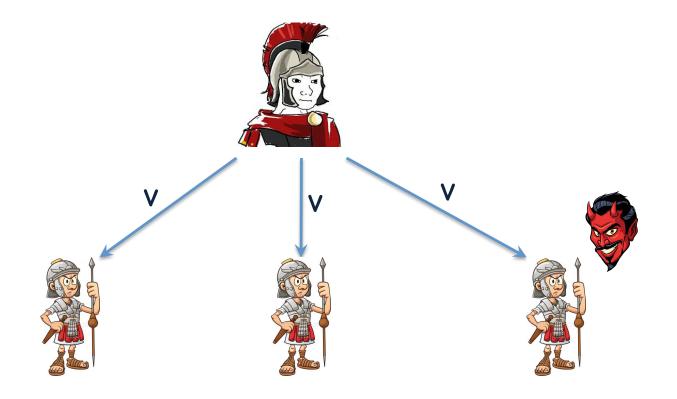
- The commander sends his decision to every lieutenant
- For each i, let v(i) be the value lieutenant i receives from the commander, else be RETREAT if he receives no value
- Lieutenant i sends the value v(i) to all other lieutenants
- For each i, and each j≠i, let v(j) be the value lieutenant i received from lieutenant j, else be RETREAT if he receives no value
- Lieutenant i computes the final decision as majority(v(1),...,v(n-1))

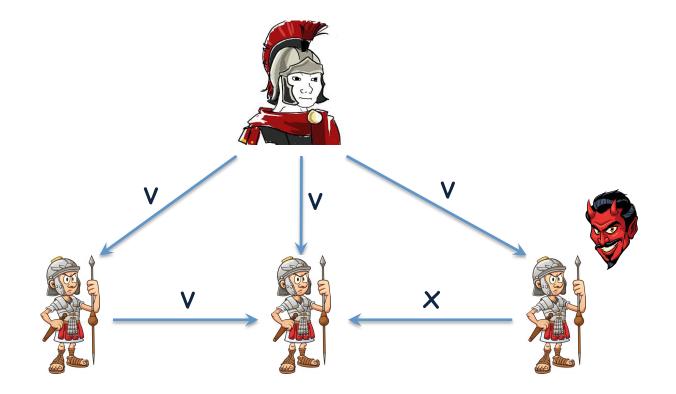


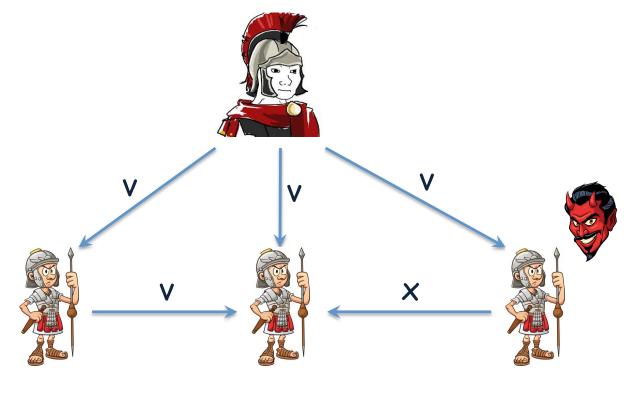












majority(v, v, x)









