## **Optoelectronics-I**

## Chapter-4

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#### **Recommended books**





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Objectives

When you finish this lesson you will be able to:

- ✓ Describe the Maxwell Equations in free space
- To be able to derive classical wave equation from Maxwell's equations
- ✓ Define the electrical and magnetic field components of light
- ✓ Describe the phase and group velocities

#### Wave equation

An optical wave is describe mathematically by a real function of position r=(x,y,z)and time t. This function denoted y(r, t) known as the wavefunction. The wavefunction for a monochromatic wave can be described as,

$$y(r, t) = y_0 \sin\left[\frac{2\pi}{\lambda}(r - vt)\right]$$
 or  $y(r, t) = y_0 \cos\left[\frac{2\pi}{\lambda}(r - vt)\right]$ 

If the r and t dependency of the function are separated, the function can be rewritten as follows.

$$y(r,t) = y_0(r)cos\left[\frac{2\pi v}{\lambda}t + \varphi(r)\right] = y_0(r)cos[2\pi vt + \varphi(r)]$$

Where,  $y_0(r)$  is the position depended amplitude,

v is the wave speed, (remember that c is the speed in the free space)  $\varphi(r)$  is the phase,

*v* is the frequency

#### **Complex Wave equation**

It is convenient to represent the real function y(r,t) in term of a complex function Y(r,t),

$$Y(r,t) = y(r)\exp([j\varphi(r)]\exp(j2\pi vt)$$

So,

$$y(r,t) = Real\{Y(r,t)\} = \frac{1}{2} [Y(r,t) + Y^*(r,t)]$$

The function Y(r, t) known as complex wave function. The function Y(r, t) satisfy the wave function.

$$\nabla^2 Y - \frac{1}{c^2} \frac{\partial^2 Y}{\partial t^2} = 0$$

The Wave Equation in the free space

 $abla^2 = \partial^2/\partial x^2 + \partial^2/\partial y^2 + \partial^2/\partial z^2$  ..... Del operator or Lablacian operator

$$Y(r,t) = Y(r)\exp(j2\pi\nu t)$$

where the time-independent factor  $Y(r) = y(r) \exp[j\varphi(r)]$  is referred to as the complex amplitude.

#### **Wave Number**

y(z)↑

Consider a wave propagating in +z direction.

$$y(r, t) = y_0 \sin\left[\frac{2\pi}{\lambda}(r - vt)\right]$$

$$y_0 = \frac{\lambda}{z_1} = \frac{\lambda}{z_2} = \frac{z_2}{z_1} = y_0 \sin\left(\frac{2\pi}{\lambda}r\right) = y_0 \sin(kr)$$

At points z1 and z2, a relationship can be established as follows.

$$kz = \frac{2\pi}{\lambda}(z^2 - z^1) = \frac{5\pi}{2} - \frac{\pi}{2} = 2\pi$$
$$k = \frac{2\pi}{\lambda}$$
 Wave Number

The wave number k, is the spatial angular frequency of a wave, measured in radians per unit distance. The unit is rad/m.

**Wave Number** 



Scalar wave number

$$k=\frac{2\pi}{\lambda}=\frac{2\pi\nu}{c}$$

$$\omega=\frac{2\pi}{T}=2\pi f$$

$$\lambda v = c$$
 in free space

Vector wave

number

$$\vec{k} = \frac{2\pi}{\lambda}\hat{k} = \frac{2\pi\nu}{c}\hat{k}$$

 $\hat{k}$  is the unit vector on the wave propagation direction.

#### **Intensity and Power**

The optical intensity *I(r, t)*, defined as the optical power per unit area (units of watts/cm<sup>2</sup>), is proportional to the average of the squared wave function,

 $I(r,t) = 2\langle y^2(r,t) \rangle$ 

The operation < ... > represents averaging over a time interval that is much longer than the time of an optical cycle.

The optical power *P(t)* (units of Watt) flowing in an area A normal to direction of propagation of light is the integrated intensity,

$$P(t) = \int_{A} I(r,t) dA$$

The **optical energy** (units of joules) collected in a given time interval is the time integral of the optical power over the time interval.

**Helmholtz Equation** 

$$Y(r,t) = y(r)\exp([j\varphi(r)]\exp(j2\pi\nu t))$$

 $Y(r,t) = Y(r)\exp(j2\pi vt)$ 

$$(\nabla^2 + k^2)Y(r) = 0$$

Helmholtz Equation

$$k = \frac{2\pi}{\lambda} = \frac{\omega}{c}$$

The optical intensity is can be calculated by using the formula given below,

$$I(r) = |Y(r)|^2$$

#### Plane waves

One of the simple solutions of the Helmholtz equation is a plane wave.



*The plane wave propagates in the +z direction* 



$$Y = A\exp\left[-j(k_x x + k_y y + k_z z)\right]$$

 $k = (k_x, k_y, k_z)$  k is the vector wave number

#### **The Spherical Waves**

Another simple solution of the Helmholtz equation is a spherical wave.



 $Y(r) = \frac{A}{r} \exp(-jkr)$ 

*The spherical wave propagates* in **all directions** which decay as 1/r

Amplitude Phase

A spherical wave can be approximated at points near to z axis and sufficiently far from origin by a paraboloidal wave. For very far points, the spherical wave approaches the plane wave.

If a spherical wave is originated at the position  $r_{0}$ ,

$$Y(r) = \frac{A}{|r - r_0|} \exp(-jk|r - r_0|)$$

#### **The Paraboloid Wave**

Let us think a spherical wave originating at r = 0 at points r = (x, y, z), the wave is sufficiently close to z axis but far from the origin. So;

$$(x^{2} + y^{2})^{1/2} \ll z$$
  
 $\theta^{2} = (x^{2} + y^{2})/z^{2} \ll 1$   
 $Y(r) = \frac{A}{r} \exp(-jkr)$ 

Spherical

Paraboloidal

Taylor series expansion

$$r = (x^{2} + y^{2} + z^{2})^{1/2} = z(1 + \theta^{2})^{1/2}$$

$$= z\left(1 + \frac{\theta^{2}}{2} - \frac{\theta^{4}}{8} + \cdots\right)$$

$$\approx z\left(1 + \frac{\theta^{2}}{2}\right) = z + \frac{x^{2} + y^{2}}{2z}$$
Substituting  $r = z + (x^{2} + y^{2})/2z$ 

$$Y(\mathbf{r}) \approx \frac{A}{z} \exp(-jkz) \exp\left[-jk\frac{x^{2} + y^{2}}{2z}\right]$$

Fresnel Approximation of a Spherical Wave

#### The Paraxial Wave

A wave is said to be paraxial if its wavefront normals are paraxial rays.

Think a plane wave,  $A \exp(-jkz)$ .

If the wave have a complex amplitude that similar to slowly varying function such as modulated wave,

$$Y(\mathbf{r}) = A(\mathbf{r}) \exp(-jkz)$$

The variation of  $A(\mathbf{r})$  with position must be slow within the distance of a wavelength  $\lambda = 2\pi/k$ , so that the wave approximately maintains its underlying plane-wave nature.



The paraxial rays travel close to the z axis

#### **Maxwell Equations**

 $\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\varepsilon_o}$ Gauss' Law for (Electric)  $\vec{\nabla} \cdot \vec{H} = 0$ Gauss' Law for Magnetism)  $\vec{\nabla} \times \vec{E} = -\mu_o \frac{\partial \vec{H}}{\partial t}$ Faraday's Law)  $\vec{\nabla} \times \vec{H} = \vec{J}$ Ampère Law

$$\vec{\nabla} \times \vec{H} = \mathcal{E}_o \frac{\partial \vec{E}}{\partial t} + \vec{J}$$

Ampère-Maxwell Law

 $\vec{E}$  is the electric field vector  $\varepsilon_o$  is the dielectric constant (**permittivity**) H is the magnetic field vector  $\mu_0$  is magnetic constant (**permeability**)  $\rho$  is the distribution of electric charge *for free space* 

#### **Maxwell Equations**

General form

Medium: Free Space



#### **The Electric and Magnetic Field Equations**

$$\vec{E}(x, y, z;t) = E_x(x, y, z;t)\hat{i} + E_y(x, y, z;t)\hat{j} + E_z(x, y, z;t)\hat{k}$$

$$\vec{H}(x, y, z; t) = H_x(x, y, z; t)\hat{i} + H_y(x, y, z; t)\hat{j} + H_z(x, y, z; t)\hat{k}$$

The electric field can be written in the form of a wave equation as follows:

$$\vec{\nabla} \times \vec{E} = -\mu_o \frac{\partial H}{\partial t} \qquad \vec{\nabla} \times (\vec{\nabla} \times \vec{E}) = -\mu_o \frac{\partial}{\partial t} (\vec{\nabla} \times \vec{H})$$
Any vector A can be
written as follows
$$\vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = -\nabla^2 \vec{A} + \vec{\nabla} . (\vec{\nabla} . \vec{A})$$

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) = -\mu_o \frac{\partial}{\partial t} \left[ \varepsilon_o \frac{\partial \vec{E}}{\partial t} \right]$$

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) = -\mu_o \varepsilon_o \frac{\partial^2 \vec{E}}{\partial t^2} \implies -\nabla^2 \vec{E} + \vec{\nabla} \cdot (\vec{\nabla} \cdot \vec{E}) = -\mu_o \varepsilon_o \frac{\partial^2 \vec{E}}{\partial t^2}$$

#### **The Electric and Magnetic Field Equations**

$$-\nabla^{2}\vec{E} + \vec{\nabla}.(\vec{\nabla}.\vec{E}) = -\mu_{o}\varepsilon_{o}\frac{\partial^{2}\vec{E}}{\partial t^{2}}$$

$$-\nabla^{2}\vec{E} + \vec{\nabla}.(\vec{\nabla}.\vec{E}) = -\mu_{o}\varepsilon_{o}\frac{\partial^{2}\vec{E}}{\partial t^{2}}$$
We ca write for free space  $\vec{\nabla}.\vec{E} = 0$ 

$$\nabla^{2}\vec{E} = \mu_{o}\varepsilon_{o}\frac{\partial^{2}\vec{E}}{\partial t^{2}}$$
Electric Field Wave Equation
Remember that
$$\nabla^{2}Y - \frac{1}{c^{2}}\frac{\partial^{2}Y}{\partial t^{2}} = 0$$
The Wave Equation in the free space
In that case c must equal to
$$\frac{1}{\sqrt{\mu_{o}\varepsilon_{o}}} = \frac{1}{\sqrt{(4\pi x 10^{-7}).(8.85 x 10^{-13})}} = \frac{2.99 \times 10^{8} \text{ m/s}}{2}$$

#### **The Electric and Magnetic Field Equations**

## Can you derive the magnetic field wave equation?

#### **The Electric and Magnetic Field Equations**

Maxwell showed that light was an electromagnetic wave. The light is a transverse electromagnetic wave.

